

# 18734: Foundations of Privacy

## Database Privacy: k-anonymity and de-anonymization attacks

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Fall 2015

# Publicly Released Large Datasets

- ▶ Useful for improving recommendation systems, collaborative research
  - ▶ Contain personal information
  - ▶ Mechanisms to protect privacy, e.g. anonymization by removing names
- ▶ Yet, private information leaked by attacks on anonymization mechanisms



Article Discussion

AOL search data leak

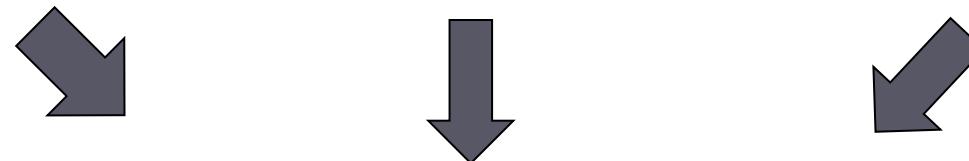
From Wikipedia, the free encyclopedia

# Non-Interactive Linking

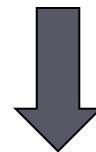
Background/  
Auxiliary  
Information

DB1

DB2



Algorithm to link information



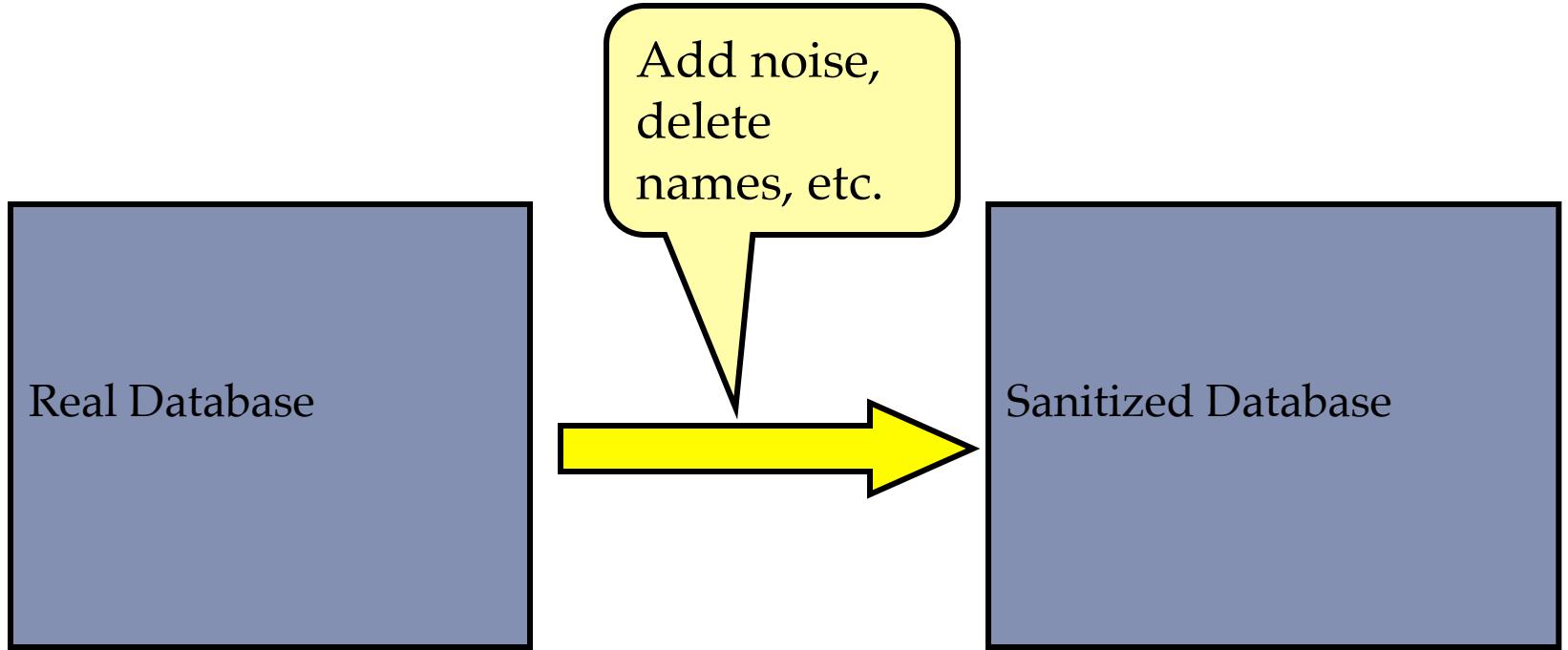
De-identified record

# Roadmap

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- ▶ ~~Motivation~~
- ▶ Privacy definitions 
- ▶ Netflix-IMDb attack
- ▶ Theoretical analysis
- ▶ Empirical verification of assumptions
- ▶ Conclusion

# Sanitization of Databases



Health records

Census data

Protect privacy

Provide useful information  
(utility)

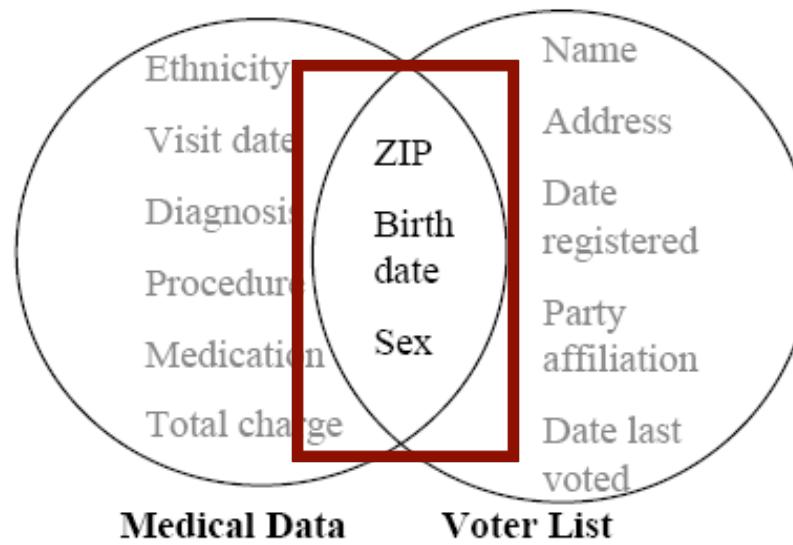
# Database Privacy

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- ▶ Releasing sanitized databases
  1. k-anonymity [Samarati 2001; Sweeney 2002]
  2. Differential privacy [Dwork et al. 2006] (*future lecture*)

# Re-identification by linking

Linking two sets of data on shared attributes may uniquely identify some individuals:



*87 % of US population uniquely identifiable by 5-digit ZIP, gender, DOB*

# K-anonymity

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- ▶ Quasi-identifier: Set of attributes that can be linked with external data to uniquely identify individuals
- ▶ Make every record in the table indistinguishable from at least  $k-1$  other records with respect to quasi-identifiers
- ▶ Linking on quasi-identifiers yields at least  $k$  records for each possible value of the quasi-identifier

# K-anonymity and beyond

	Non-Sensitive			Sensitive
	Zip Code	Age	Nationality	Condition
1	13053	28	Russian	Heart Disease
2	13068	29	American	Heart Disease
3	13068	21	Japanese	Viral Infection
4	13053	23	American	Viral Infection
5	14853	50	Indian	Cancer
6	14853	55	Russian	Heart Disease
7	14850	47	American	Viral Infection
8	14850	49	American	Viral Infection
9	13053	31	American	Cancer
10	13053	37	Indian	Cancer
11	13068	36	Japanese	Cancer
12	13068	35	American	Cancer

Figure 1. Inpatient Microdata

	Non-Sensitive			Sensitive
	Zip Code	Age	Nationality	Condition
1	130**	< 30	*	Heart Disease
2	130**	< 30	*	Heart Disease
3	130**	< 30	*	Viral Infection
4	130**	< 30	*	Viral Infection
5	1485*	≥ 40	*	Cancer
6	1485*	≥ 40	*	Heart Disease
7	1485*	≥ 40	*	Viral Infection
8	1485*	≥ 40	*	Viral Infection
9	130**	3*	*	Cancer
10	130**	3*	*	Cancer
11	130**	3*	*	Cancer
12	130**	3*	*	Cancer

Figure 2. 4-anonymous Inpatient Microdata

Provides some protection: linking on ZIP, age, nationality yields 4 records

Limitations: lack of diversity in sensitive attributes, background knowledge, subsequent releases on the same data set

# Re-identification Attacks in Practice

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Examples:

- ▶ Netflix-IMDB
- ▶ MovieLens attack
- ▶ Twitter-Flicker
- ▶ Recommendation systems – Amazon, Hunch,..

Goal of De-anonymization: To find information about a record in the released dataset

# Roadmap

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- ▶ ~~Motivation~~
  - ▶ ~~Privacy definitions~~
  - ▶ Netflix-IMDb attack 
  - ▶ Theoretical analysis
  - ▶ Empirical verification of assumptions
  - ▶ Conclusion
- ▶ 11

# Anonymization Mechanism



	Gladiator	Titanic	Heidi
Bob	5	2	1
Alice	3	2.5	2
Charlie	1.5	2	2

Each row corresponds to an individual

Delete name identifiers and add noise



Each column corresponds to an attribute, e.g. movie



	Gladiator	Titanic	Heidi
r <sub>1</sub>	4	1	0
r <sub>2</sub>	2	1.5	1
r <sub>3</sub>	0.5	1	1

Anonymized Netflix DB

# De-anonymization Attacks Still Possible

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## ▶ Isolation Attacks

- ▶ Recover individual's record from anonymized database
- ▶ E.g., find user's record in anonymized Netflix movie database

## ▶ Information Amplification Attacks

- ▶ Find more information about individual in anonymized database
- ▶ E.g. find ratings for specific movie for user in Netflix database

# Netflix-IMDb Empirical Attack [Narayanan et al 2008]

Anonymized Netflix DB

	Gladiator	Titanic	Heidi
r <sub>1</sub>	4	1	0
r <sub>2</sub>	2	1.5	1
r <sub>3</sub>	0.5	1	1

Publicly available IMDb ratings  
(noisy)



	Titanic	Heidi
Bob	2	1

Used as auxiliary information



Weighted Scoring Algorithm



Isolation Attack!



r <sub>1</sub>	4	1	0
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# Problem Statement

Anonymized database

	Gladiator	Titanic	Heidi
r <sub>1</sub>	4	1	0
r <sub>2</sub>	2	1.5	1
r <sub>3</sub>	0.5	1	1

Auxiliary information about a record (noisy)



	Titanic	Heidi
Bob	2	1

Attacker uses algorithm to find record

Attacker's goal: Find  $r_1$  or record similar to Bob's record

Enhance theoretical understanding of why empirical de-anonymization attacks work

# Research Goal

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Characterize classes of auxiliary information and properties of database for which re-identification is possible

# Roadmap

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- ▶ ~~Motivation~~
  - ▶ ~~Privacy definitions~~
  - ▶ ~~Netflix IMDb attack~~
  - ▶ Theoretical analysis 
  - ▶ Empirical verification of assumptions
  - ▶ Conclusion
- ▶ 17

# Netflix-IMDb Empirical Attack [Narayanan et al 2008]

Anonymized Netflix DB

	Gladiator	Titanic	Heidi
r <sub>1</sub>	4	1	0
r <sub>2</sub>	2	1.5	1
r <sub>3</sub>	0.5	1	1

Publicly available IMDb ratings (noisy)

		Titanic	Heidi
Bob	2	1	

Used as auxiliary information

How do you measure similarity of this record with Bob's record?  
**(Similarity Metric)**

Weighted Scoring Algorithm

What does **auxiliary information** about a record mean?



r<sub>1</sub> | 4 | 1 | 0

# Definition: Asymmetric Similarity Metric

	Gladiator	Titanic	Heidi
v <sub>1</sub>	5	0	-
y	5	0	-
r	0	2	3

Intuition: Measures how closely two people's ratings match on one movie

Intuition: Measures how closely two people's ratings match overall

Individual Attribute Similarity

$$T(y(i), r(i)) = 1 - \frac{|y(i) - r(i)|}{p(i)}$$

$$T(y(v_1), r(v_1)) = 1 - \frac{|5 - 0|}{5} = 0$$

Movie (i)	T(y(i), r(i))
Gladiator	0
Titanic	0.6
Heidi	0

p(i): range of attribute i

Similarity Metric

$$S(y, r) = \sum_{i \in \text{supp}(y)} \frac{T(y(i), r(i))}{|\text{supp}(y)|}$$

S(y,r)	0.6 / 2 = 3
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supp(y): non null attributes in y

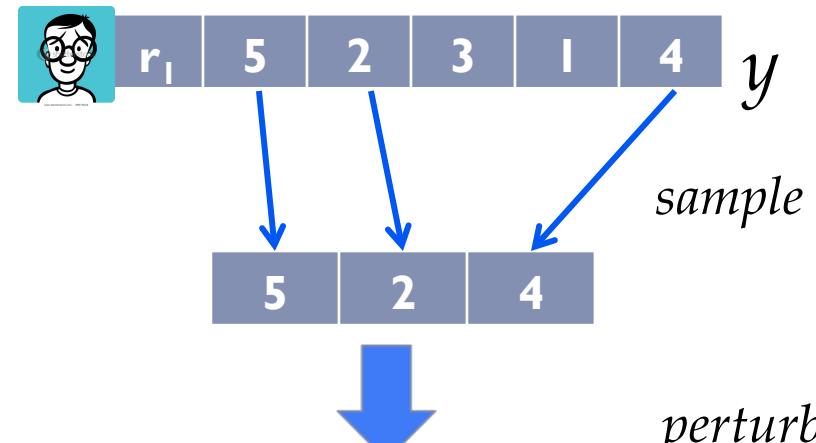
# Definition: Auxiliary Information

Intuition:

*aux* about  $y$  should be a subset of record  $y$   
*aux* can be noisy

*aux* captures information available outside normal data release process

e.g. Netflix



e.g. IMDb *aux*

4.5 | 2.3 | 3.4

Bound level of perturbation in *aux*

$$\gamma \in [0,1]$$

$(m, \gamma)$ -perturbed auxiliary information

$$\forall i \in \text{supp}(\text{aux}) T(y(i), \text{aux}(i)) \geq 1 - \gamma$$

$|\text{supp}(\text{aux})| = m = \text{no. of non null attributes in } \text{aux}$



# Weighted Scoring [Narayanan et al 2008, Frankowski et al 2006]

Intuition: The fewer the number of people who watched a movie, the rarer it is

**Weight of an attribute  $i$**

$$w(i) = \frac{1}{\log(|\text{supp}(i)|)}$$

$|\text{supp}(i)|$  = no. of non null entries in column  $i$

Use weight as an indicator of rarity

Score gives a weighted average of how closely two people match on every movie, giving higher weight to rare movies

**Scoring Methodology**

$$\text{Score}(\text{aux}, r_j) = \sum_{i \in \text{supp}(\text{aux})} \frac{w(i) * T(\text{aux}(i), r_j(i))}{|\text{supp}(\text{aux})|}$$

$|\text{supp}(\text{aux})| = m$  = no. of non null attributes in  $\text{aux}$

Compute *Score* for every record  $r$  in anonymized DB to find out which one is closest to target record  $y$

# Weighted Scoring Algorithm [Narayanan et al 2008]

Compute *Score* for every  $r$  in  $D$

$w_i$	0.63	0.5	0.63
	$v_1$	$v_2$	$v_3$
$r_1$	5	2	-
$r_2$	3	1	4
$r_3$	-	2	4

$$Score(aux, r_j) = \sum_{i \in \text{supp}(aux)} \frac{w(i) * T(aux(i), r_j(i))}{|\text{supp}(aux)|}$$

Score(aux, $r_j$ )
0.52
0.40
0.23

$v_1$	$v_2$
4.5	2.3

One of the records  $r$  in anonymized database is  $y$ , which row is it?

Eccentricity measure > threshold

$$e(aux, D) = \max_{r \in D}(Score(aux, r)) - \max_{2, r \in D}(Score(aux, r))$$



Output record with max Score

$r_1$	5	2	-
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$Score(aux, r)$  used to predict  $S(y, r)$

# Where do Theorems Fit?

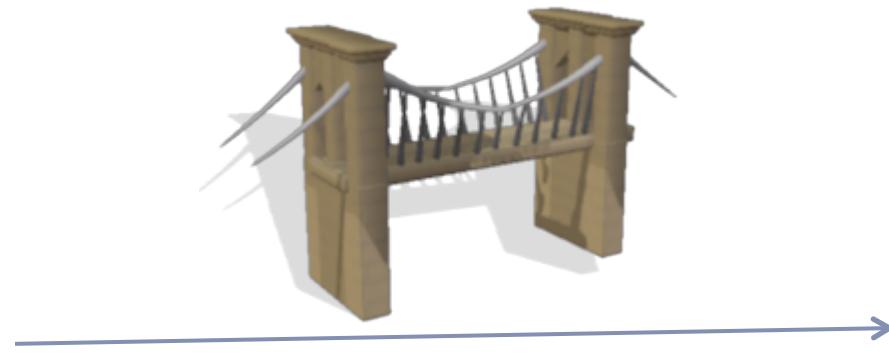


4.5

2.3

Computed:

Score of all  
records  $r$  in  $D$   
with  $aux$



4.5

2.3

Desired:

Guarantee about  
*Similarity*

Theorems help bridge the gap

$r_1$	5	2	-
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 $r_1$ 

5

2

-

# Theorems

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- ▶ Theorem 1: When Isolation Attacks work? 
- ▶ Theorem 2: Why Information Amplification Attacks work?

# Theorem 1: When Isolation Attacks work?

Intuition: If eccentricity is high, algorithm always finds the record corresponding to auxiliary information!

If

$aux$  is  $(m, \gamma)$ -perturbed

Eccentricity threshold  $> \gamma M$

Eccentricity: Highest score - Second highest score

then

$$Score(aux, \check{O}) = Score(aux, y)$$

$\gamma$ : Indicator of perturbation in aux  
 $M$  : Average of weights in aux  
 $\check{O}$  : Record output by algorithm  
 $y$  : Target record

If  $\check{O}$  is the only record with the highest score then  $\check{O} = y$

# Isolation Attack: Theorem

**Theorem IV.1** Let  $y$  denote the target record from a given database  $D$ . Let  $\text{aux}_y$  denote  $(m, \gamma)$ -perturbed auxiliary information about record  $y$ . If the eccentricity measure  $e(\text{aux}_y, D) > \gamma M$  where  $M = \frac{\sum_{i \in \text{supp}(\text{aux}_y)} w_i}{|\text{supp}(\text{aux}_y)|}$  is the scaled sum of weights of attributes in  $\text{aux}_y$ , then

- 1)  $\max_{r \in D} (\text{Score}(\text{aux}_y, r)) = \text{Score}(\text{aux}_y, y).$
- 2) Additionally, if only one record has maximum score value  $= \text{Score}(\text{aux}_y, y)$ , then the record  $o$  returned by the algorithm is the same as target record  $y$ .

# Theorems

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- ▶ ~~Theorem 1: When Isolation Attacks work?~~
- ▶ Theorem 2: Why Information Amplification Attacks work?

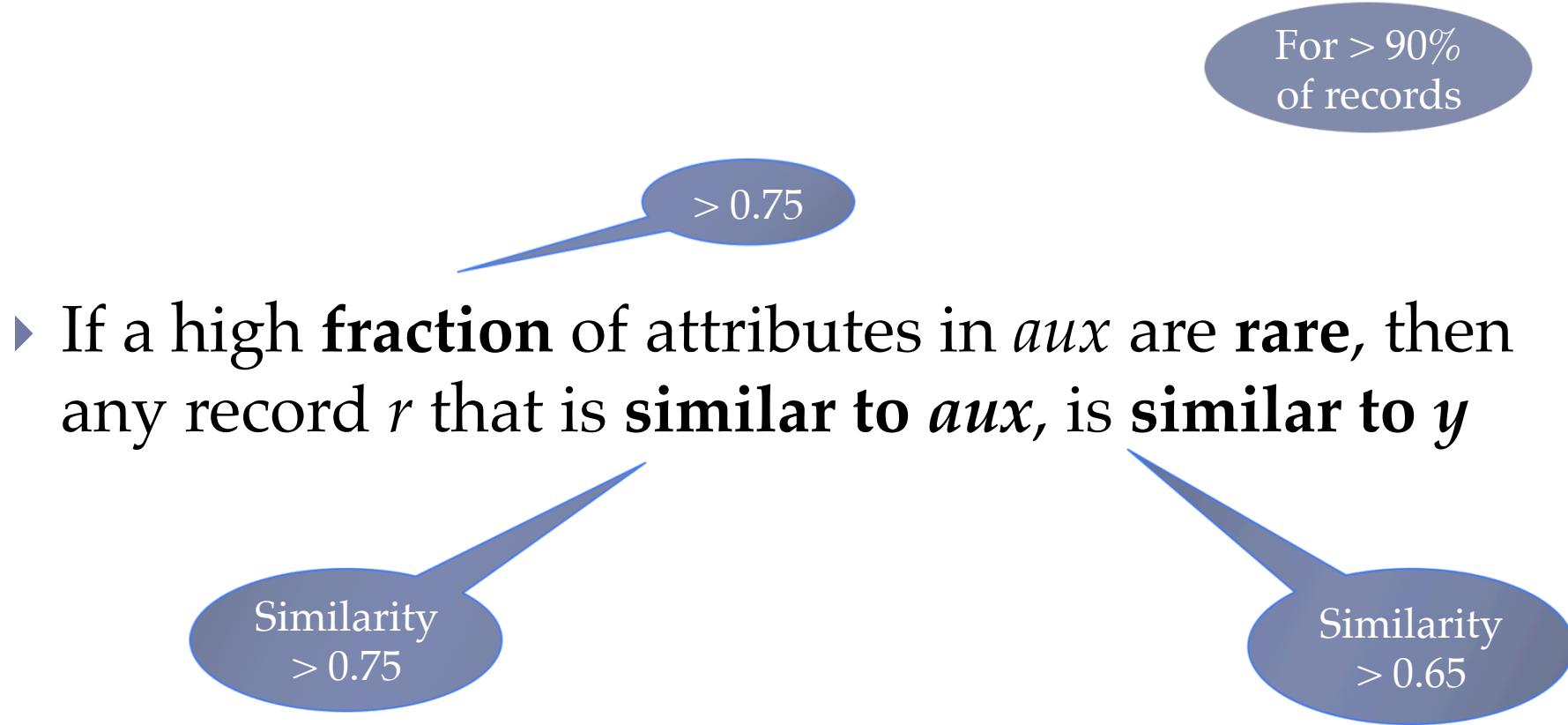


# Intuition: Why Information Amplification Attacks work?

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- ▶ If two records agree on rare attributes, then with high probability they agree on other attributes too
- ▶ Use intuition to find record  $r$  similar to  $aux$  on many rare attributes (using  $aux$  as ‘proxy’ for  $y$ )

# Intuition: Why Information Amplification Attacks work?



# Theorem 2: Why Information Amplification Attacks work?

Define Function

$$f_D(\eta_1, \eta_2, \eta_3)$$

If a high **fraction** of attributes in *aux* are **rare**, then any record *r* **similar to aux**, is **similar to *y***

- Measure overall similarity between target record *y* and *r* that depends on:

$\eta_1$  : Fraction of rare attributes in *aux*

$\eta_2$  : Lower bound on similarity between *r* and *aux*

$\eta_3$  : Fraction of target records for which guarantee holds

$$S(y, r) \geq f_D(\eta_1, \eta_2, \eta_3)$$

# Theorem 2: Why Information Amplification Attacks work?

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Using Function

$$f_D(\eta_1, \eta_2, \eta_3)$$

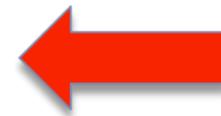
$$S(y, r) \geq f_D(\eta_1, \eta_2, \eta_3)$$

Theorem gives guarantee about similarity of record output by algorithm with target record

# Roadmap

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- ▶ Empirical verification of assumptions
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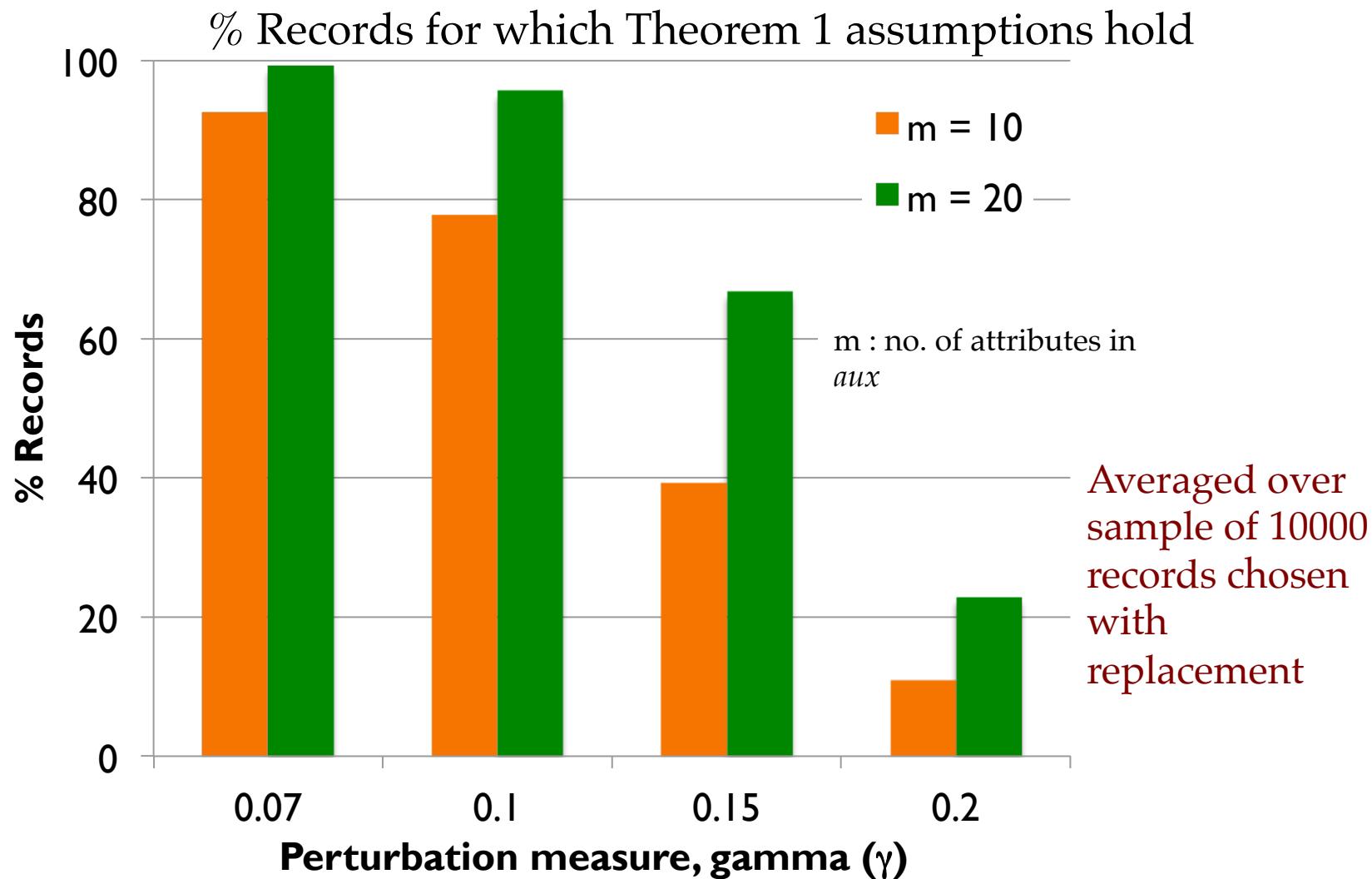


# Empirical verification

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- ▶ Use `anonymized' Netflix database with 480,189 users and 17,770 movies
- ▶ Percentage values claimed in our results = percentage of records not filtered out because of
  - ▶ insufficient attributes required to form aux OR
  - ▶ insufficient rare or non-rare attributes required to form aux

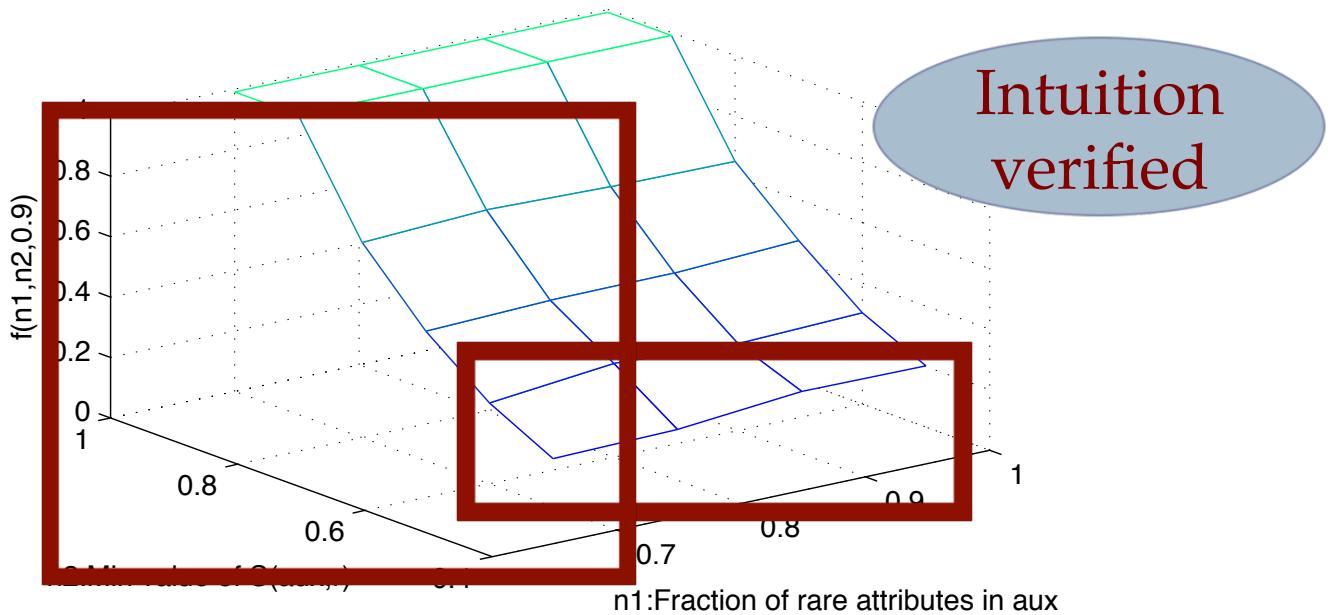
# Do Assumptions hold over Netflix Database?



# Does Intuition about $f_D$ hold for Netflix Database?

$f_D(\eta_1, \eta_2, \eta_3)$  can be evaluated given D

$$S(y, r) \geq f_D(\eta_1, \eta_2, \eta_3)$$



For Netflix DB,

$f_D(\eta_1, \eta_2, \eta_3)$  is monotonically increasing in  $\boxed{\eta_1}$  and  $\boxed{\eta_2}$  and tends to 1 as  $\eta_2$  increases

# Roadmap

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# Conclusion

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- ▶ Naïve anonymization mechanisms do not work
  - ▶ We obtain **provable** bounds about, and **verify empirically**, why some de-anonymization attacks work in practice
  - ▶ Even perturbed auxiliary information can be used to launch de-anonymization attacks if:
    - ▶ *Database* has many **rare dimensions** and
    - ▶ *Auxiliary information* has information about these rare dimensions
- ▶ 37

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▶ Questions?