

Chapter 1

System Topology based Identification of High Risk $N-k$ Contingencies

**A Report Developed for
PSerc Project S26: Risk of Cascading Outages**

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1. INTRODUCTION

There is an increasing need to provide operators with enhanced on-line information regarding system security levels, what influences these levels, and what actions should be taken, or not taken, in order to most economically achieve an improved level. This section aims to address one aspect of this issue by providing a method to identify multiple component contingencies that represent high risk. The causes of cascading events in power systems are various [1]. One major contribution to cascading is high order initiating contingencies—removal of several power system components in a very short time, typically within seconds. Contingency set identification is an essential step in monitoring the power system security level [1]. Most literature [2][3] on contingency selection emphasizes screening methods to select contingencies from a presumed $N-1$ contingency set plus a limited number of high order contingencies, ranking them using an appropriate severity index.

Some exceptions include [4][5][6] which studied the effect of multiple component contingencies caused by substation and protection failures. However, the literature on systematic selection of high order contingencies, called $N-k$ contingencies (where $k \geq 2$ is implicit), is limited. [7] and [8] proposed the on-line detection of hidden failure in protection device to prevent cascading failure. The proposed method needs exhaustive information on the logic of protection device installed in power system, which make it very difficult to be implemented. The difficulty of $N-k$ contingency selection lies in its combinatorial nature: the total number of distinct non-ordered (simultaneous) $N-k$ contingencies is $N!/k!(N-k)!$. For a very modest size power system model with $N=1000$, there are 499,500 $N-2$ contingencies, 166,167,000 $N-3$ contingencies, over 41 billion $N-4$ contingencies, and so on.

One might argue that most of these contingencies are so low in probability that they do not warrant attention. However, $N-k$ contingencies do occur, and when they do, consequences can be very severe, and these very practical facts motivate the objective of

this research, to identify high risk $N-k$ contingencies for on-line security assessment. Such contingencies can then be added to the standard contingency list used by the energy management system (EMS) for transmission security assessment.

The purpose of this report is to illustrate the probability calculation for high-probability $N-k$ contingencies. A systematic method to calculate the probabilities of $N-k$ contingencies for online security assessment is presented. The developed methodology is illustrated through five substation configurations including single breaker-single bus, ring bus, double breaker-double bus, single-bus connected with bus tie, and breaker and a half. The difference between these five configurations lies in their robustness to $N-k$ contingencies as illustrated in the probability calculation. The developed algorithms are implemented in Visual C++ and tested on a test system and IEEE-RTS 24 bus test system given in Appendix D and Appendix F. Some of the material in this report is drawn from [9].

Section 2 identifies that changes in system topology, protection failure and misoperation can lead to high risk $N-k$ contingencies. Section 3 describes through an example our graph-search algorithm and probability estimation algorithm of $N-k$ contingencies caused by topology variation and protection failure or stuck breaker following a primary contingency. Section 4 evaluates the reliability of five basic substations in terms of the functional group and the probability estimation model developed in Section 3. In Section 5 a systematic method to calculate the probability of inadvertent tripping following an initial event is presented and illustrated through the five basic substation topological configurations. Section 6 discusses the result of the implementation of the developed algorithm on a test system and IEEE-RTS 24 bus system and Section 7 concludes. Appendix A provides the pseudo code for the graph search algorithm developed and in Appendix B rare event approximation and probability order precision is discussed. Appendix C provides the pseudo code for the selection of inadvertent tripping contingency. Appendix D and Appendix E outlines the details of the test system and relevant system component data and their connectivity. Appendix F provides the one line diagram of the IEEE-RTS 24 bus system.

2. SYSTEM TOPOLOGY AND PRIMARY MULTIPLE CONTINGENCIES

Transmission substations are normally designed to ensure that a single fault results in at most the loss of a single circuit. However, the actual substation topology, at any given moment, may differ from the designed configuration, as the topological configuration of a substation, in terms of the connectivity of the elements through the switching devices (switches and breakers), may change. Variations in substation topology can occur as a result of operator action for purposes of facility maintenance and for purposes of mitigating undesirable operating conditions such as high circuit loading or out-of-limit voltages. To a lesser extent, topological variation may also occur as a result of forced outages.

Substation topological variation may, in some instances, result in situations where the operation of the protective systems, in response to the occurrence of a fault in the network, removes two or more elements when clearing the fault. Such topologies significantly increase the risk-level of the network, as it exposes the system to a multi-outage contingency as a result of a single fault, whose probability is equivalent to that of an $N-1$ contingency. As $N-k$ contingencies are inherently more severe than $N-1$ contingencies, an $N-k$ contingency having a probability of the same order of magnitude as an $N-1$ contingency may cause a very high amount of risk, since risk associated with a specific contingency is the expected value of the contingency consequence [10].

We will classify event probabilities by their *probability order* [11][12][13] which is best described by an example. If the probability of an event, say a fault at a particular location, occurring in the next hour, is 10^{-5} , then the probability of two independent faults occurring in the next time hour is 10^{-10} , and three independent faults 10^{-15} , and so on. We say, then, that any event (or event combination, independent or not) with probability having order of magnitude -5 is an *order 1* event, any event with probability having order of magnitude -10 is an *order 2* event, any event with probability having order of magnitude -15 is an *order 3* event, and so on. A detailed discussion on probability precision based on rare event approximation and *probability orders* is given in Appendix A.

An operator may not be aware of increased $N-k$ likelihood that results from switching actions. In this case, automated detection is critical. Even if the operator is aware of the increased likelihood, the question remains as to its severity and therefore its risk.

A search algorithm and the associated code have been developed to detect these situations and the pseudo code is given in Appendix B. The inputs required for the algorithm include the breaker-switch status data obtained from the SCADA system. As this data is also used for EMS topology processing, it is available in most control centers.

Another cause of $N-k$ events is the failure of a breaker to open or protection failure to trip under a faulted condition. Such an event is of lower probability than that of an $N-1$ outage, as it is comprised of a fault and a protection system failure. Because these are two independent events, it is of *order- 2*. Yet, the severity, in terms of number of outaged elements, may be extreme, and therefore the risk may not be negligible. The graph-search algorithm given in Appendix B also detects this situation.

A systematic methodology for the probability calculation of inadvertent tripping or protection system misoperation leading to $N-k$ events is also developed and illustrated through five substation topologies [14]. This is an *order-2* or higher *order* contingency.

The NERC Disturbance Analysis Working Group (DAWG) provides a database on large disturbances that have occurred in the bulk transmission systems in North America since 1984 [15]. The analysis of this information has resulted in a classification of three types among those related to protection failures: (1) inadvertent tripping, (2) protection relay fail to trip, and (3) breaker failure. A summary of the DAWG database in terms of this classification is given in Table 1.

Table 1: Summary on disturbances caused by protection system failures

Year	Inadvertent Tripping	Protection fails to trip	Breaker Failure	Total No. protection malfunction
1984	4	0	1	5
1985	2	0	5	7
1986	1	1	2	4
1987	2	0	0	2
1988	6	0	0	6
1989	6	0	0	6
1990	0	2	1	3
1991	3	1	1	5
1992	1	1	2	4
1993	1	0	3	4
1994	2	0	3	5
1995	5	1	1?	7
1996	2	0	1	3
1997	1	0	2	3
1998	0	0	0	0
1999	0	1	0	1
Total	36	7	22	65
Percentage	55%	11%	34%	100%

3. TOPOLOGICAL IDENTIFICATION OF PRIMARY HIGH-ORDER CONTINGENCIES

In this section, detailed illustration of the three categories of high order contingencies caused by topology variation and component fault followed by one breaker failure or protection failure to trip are given in terms of a concise form to calculate the probability of these events by tracing the topology of system. A desirable contingency selection method should be able to identify, from topology data, high risk contingencies, that is, contingencies that have relatively high probability or high consequence or both. In addition to events with probability *order 1*, the method proposed in this section strategically chooses a group of events that have a probability less than that of *order 1* but greater than or equal to that of *order 2*. It is assumed that at most, only one breaker will

suffer stuck failure, i.e., failure of two or more breakers to open when required poses negligible risk. This assumption is consistent with the rare event approximation, (Appendix A) as long as the occurrences of different failures are independent. An example is used to explain the approach.

Graph Representations of Power System Topology with Substation Model

Formally, a graph $G = (V, E)$ is defined by an ordered pair of finite sets V and E , where the elements in V are called the Vertices (also called nodes or points) and the elements in E are called edges (also called sides or arc) [16][17]. Each element in E is a subset of V containing only two elements of V . For example

$$G = (V, E) = (\{V_1, V_2, V_3\}, \{E_1 = (V_1, V_2), E_2 = (V_1, V_3), E_3 = (V_2, V_3)\})$$

defines the triangle graph in Fig. 1 with $\{V_1, V_2, V_3\}$ constituting its three vertices and $\{E_1 = (V_1, V_2), E_2 = (V_1, V_3), E_3 = (V_2, V_3)\}$ constituting its three edges. Such graphs are used to represent the topology of power system components, i.e. generators, lines, transformers, bus section, breakers, switches, and loads.

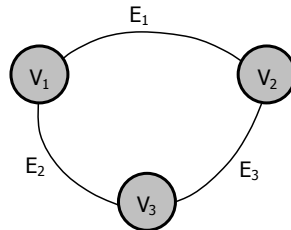


Fig. 1: A graph with three vertices and three edges

The one-line diagram in Fig. 2 shows part of a real power system with bus bar segment BS-7 out for maintenance. Every component is tagged with a unique ID. Each of the components other than a bus section connects two different bus sections. In reality not all non-bus-section components (line, breaker, capacitor, generator, and switches) are joined by two bus bars. In this case a bus section is inserted between two non-bus-section components. This ensures that the data format for the topology of the power system is the same as those in EMS. A bus section is connected by one or more other types of components. If we take all the breakers and open switches (which form a cut set) away from the diagram, the whole diagram is decomposed into seven isolated parts. Each of the isolated parts is contained within a dashed circle. The components contained in each

dashed circle of form a *functional group* (Fig. 2) A functional group does not include any circuit breaker and open switch, which forms the interface between two different functional groups. Generally, there is only one interfacing component, a breaker or a switch, connecting two functional groups.

One convenient way to model the system is depicted in the left hand side of Fig. 3. In this figure, the components are unanimously modeled as vertices. Each ellipse corresponds to a real power system component. The edges only show how the component are connected but do not correspond to any real component. The functional groups are identified with dashed circles as in the one-line diagram in Fig. 2, and each one is assigned a label $FG-i$. The interfacing components between each functional group are indicated with a grey ellipse, i.e., components BR-1, BR-2, BR-3, SW-2, and SW-3.

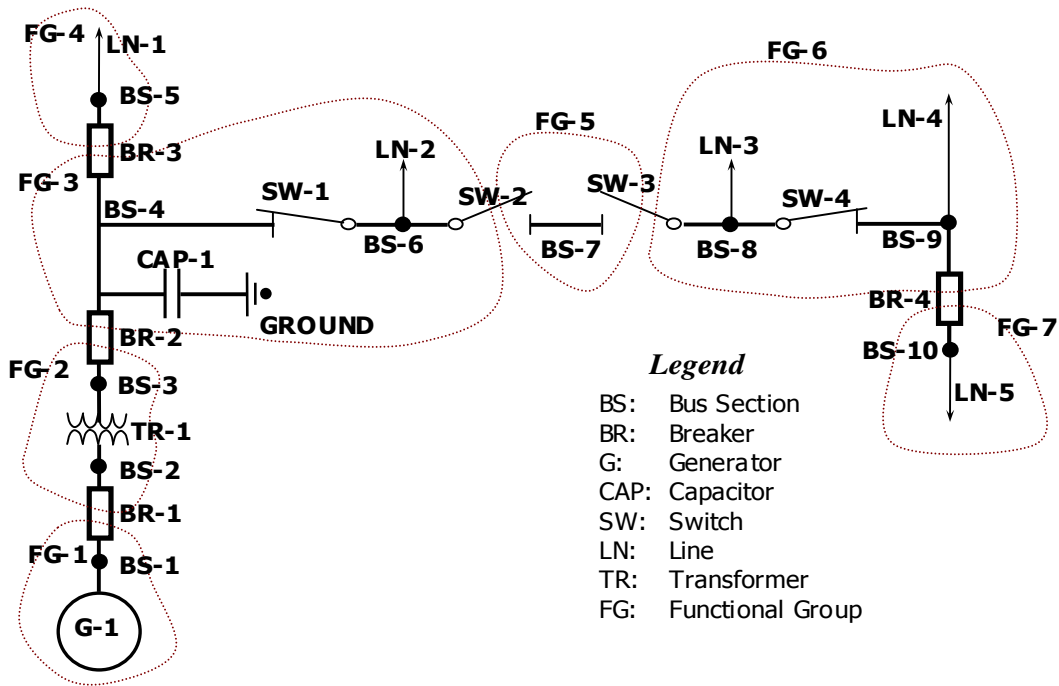


Fig. 2: One-line diagram of actual system illustrating functional groups

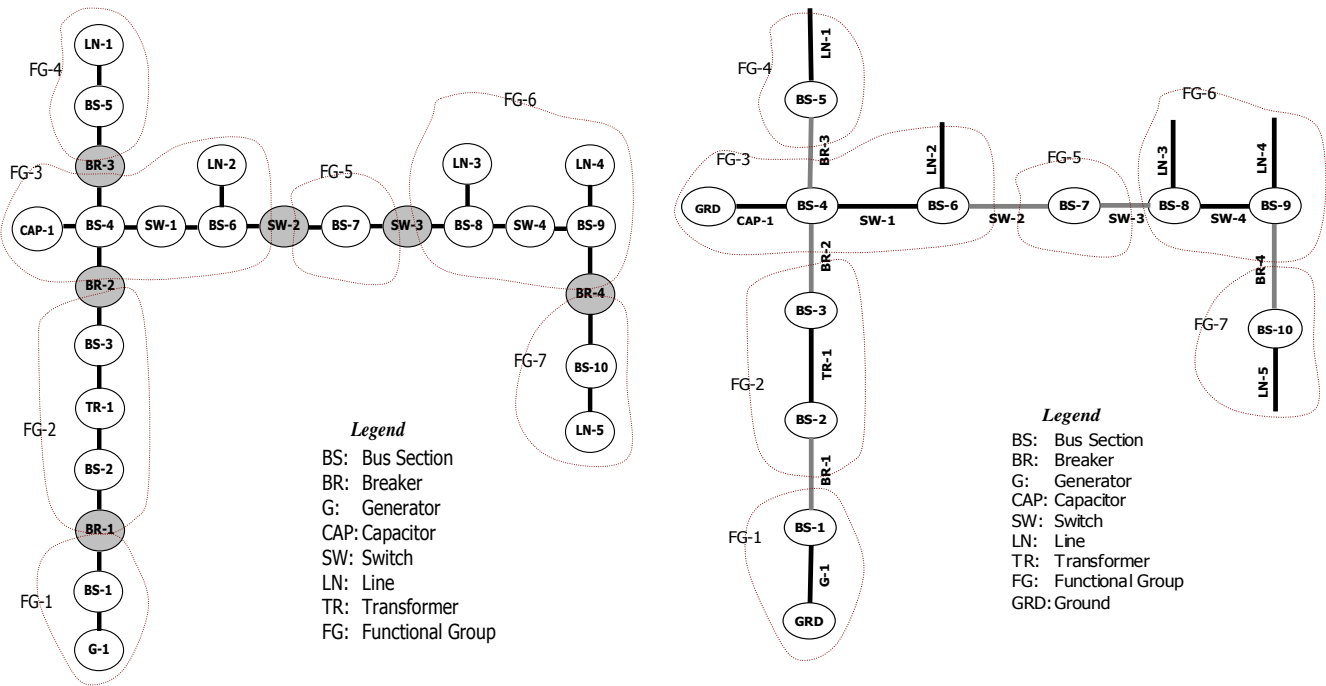


Fig. 3: Graph representations of Fig. 2

The graph model used in some EMS is not as that in the left hand side of Fig. 3 rather it models the topology as the graph shown in the right hand side of Fig. 3. This model is different in that both a vertex and an edge correspond to a real component. It treats all bus section components as vertices and all non bus-section components (line, breaker, capacitor, generator, and switches) as edges. Each vertex of the graph corresponds to a bus section component in the power system. The edges indicate how the bus sections are connected. Each edge corresponds to a non-bus section component (line, breaker, capacitor, generator, and switches). A bus section component may be connected by more than two edges, while each edge connects only two vertices. The functional groups are again identified with dashed circles, and each one is assigned a label $FG-i$. The interfacing components between each functional group are the same as in Fig. 3, but they are modeled as edges instead of vertices. This graph is undirected which is different from the directed graph model in electrical circuit analysis and power flow. The graph for them is directed because they need a reference direction for electric current flow or power flow.

All the components, vertices, or edges, are listed in Table 2 and Table 3. Each component is assigned a number I.D. in addition to the name I.D. The expressions P_{FT}^i , P_{FL}^i and P_{PD}^i are three different reliability indices defined for power system components.

Table 2: List of vertex component of the power system diagram in Fig. 2

Name I.D.	BS-1	BS-2	BS-3	BS-4	BS-5	BS-6	BS-7	BS-8	BS-9	BS-10
Number	17	18	19	20	21	22	23	24	25	26
Fault Prob.	P_{FT}^{17}	P_{FT}^{18}	P_{FT}^{19}	P_{FT}^{20}	P_{FT}^{21}	P_{FT}^{22}	P_{FT}^{23}	P_{FT}^{24}	P_{FT}^{25}	P_{FT}^{26}

P_{FT}^i is the probability that component has a ground fault contingency and P_{FL}^i means the probability that the component fails and has to be forced out from operation. Since fault contingency is only one of different modes of failure, P_{FL}^i must be greater than or equal to P_{FT}^i . P_{PD}^i is called *per demand fail probability*, i.e. the conditional probability that the component fails to perform an action when the component is demanded to perform that action. Not all components need all the three reliability indices. Both P_{FT}^i and P_{FL}^i are defined for non bus-section and non switching-components (line, capacitor and generator) because these components have many failure modes in addition to ground fault. Since these components are stagnant devices that do not receive any command from control and perform any action, they do not have an P_{PD}^i index. Only P_{FL}^i is defined for bus sections since they are stagnant and fault is virtually the only possible failure mode for them. Only P_{PD}^i is defined for switching components (breakers and switches) as they receive command from protection relay to connect or disconnect actions. Although it is possible for a switch component to have ground fault or other mode of failure, this probability is transferred to that of the two components the switch component connects by increasing the P_{FT}^i and P_{FL}^i . The value of P_{PD}^i depends on the switching status of the component. If the component is already in OPEN (or OFF) state, then P_{PD}^i is zero, otherwise, it is the conditional probability that the component fails to open when required.

Table 3: List of edge components for the power system diagram in Fig. 2

Name I.D.	No I.D.	Connected Bus Sections		Status	Probability		
		from	To		Fault	Fail	Per Demand
G-1	1	BS-1	Ground	Online	P_{FT}^1	P_{FL}^1	—
LN-1	2	BS-5	other system	Online	P_{FT}^2	P_{FL}^2	—
LN-2	3	BS-6	other system	Online	P_{FT}^3	P_{FL}^3	—
LN-3	4	BS-8	other system	Online	P_{FT}^4	P_{FL}^4	—
LN-4	5	BS-9	other system	Online	P_{FT}^5	P_{FL}^5	—
LN-5	6	BS-10	other system	Online	P_{FT}^5	P_{FL}^5	—
TR-1	7	BS-2	BS-3	Online	P_{FT}^6	P_{FL}^6	—
CAP-1	8	BS-4	Ground	Online	P_{FT}^7	P_{FL}^7	—
BR-1	9	BS-1	BS-2	On	0	0	P_{PD}^9
BR-2	10	BS-3	BS-4	On	0	0	P_{PD}^{10}
BR-3	11	BS-4	BS-5	On	0	0	P_{PD}^{11}
BR-4	12	BS-9	BS-10	On	0	0	P_{PD}^{12}
SW-1	13	BS-4	BS-6	On	0	0	P_{PD}^{13}
SW-2	14	BS-6	BS-7	Off	0	0	P_{PD}^{14}
SW-3	15	BS-7	BS-8	Off	0	0	P_{PD}^{15}
SW-4	16	BS-8	BS-9	On	0	0	P_{PD}^{16}

Since each functional group is tripped by protection relay as a whole entity, any fault or failure of a component within the group will cause the whole group to be tripped. The probability a functional group is tripped can be calculated as $\sum_{i \in S_i} P_{FL}^i$, where the elements of S_i are the indices of all the components in functional group i . The probability that a functional group is tripped due to fault can be calculated as $\sum_{i \in S_i} P_{FT}^i$ in the same way.

The equations for each individual group are summarized in the last two columns of Table 4. We assume the availability of the connection data for each power substation and the components within and between them, as summarized in the 3rd and 4th columns of Table 3. We perform a graph search using this information to identify the functional groups. The results of this search for this example are provided in the first four columns of Table 4.

The fifth column of Table 4 provides the failure probabilities of the functional groups, which are the summation of the failure probabilities of the non-interfacing components comprising the functional group.

Table 4: List of functional groups and identified their failure probabilities

Functional Group FG-i	Interfacing Components (breaker or Open switch)	Per Demand Fail Prob. Of Interfacing Components	Non-interfacing Components $S_i =$	Fault/Failure Prob. of Functional groups	
				Fault: $P_{FG_i}^{FT}$	Failure: $P_{FG_i}^{FL}$
FG-1	BR-1	P_{PD}^9	$S_1 = \{1, 17\}$	$\sum_{i \in \{1, 17\}} P_{FT}^i$	$\sum_{i \in \{1, 17\}} P_{FL}^i$
FG-2	BR-1, BR-2	P_{PD}^9, P_{PD}^{10}	$S_2 = \{7, 18, 19\}$	$\sum_{i \in \{7, 18, 19\}} P_{FT}^i$	$\sum_{i \in \{7, 18, 19\}} P_{FL}^i$
FG-3	BR-2, BR-3, SW-2	P_{PD}^{10}, P_{PD}^{11}	$S_3 = \{8, 20, 13, 22, 3\}$	$\sum_{i \in \{8, 20, 13, 22, 3\}} P_{FT}^i$	$\sum_{i \in \{8, 20, 13, 22, 3\}} P_{FL}^i$
FG-4	BR-3	P_{PD}^{11}	$S_4 = \{2, 21\}$	$\sum_{i \in \{2, 21\}} P_{FT}^i$	$\sum_{i \in \{2, 21\}} P_{FL}^i$
FG-5	SW-2, SW-3	P_{PD}^{14}, P_{PD}^{15}	$S_5 = \{23\}$	$\sum_{i \in \{23\}} P_{FT}^i$	$\sum_{i \in \{23\}} P_{FL}^i$
FG-6	SW-3, BR-4	P_{PD}^{15}, P_{PD}^{12}	$S_6 = \{24, 4, 16, 25, 5\}$	$\sum_{i \in \{24, 4, 16, 25, 5\}} P_{FT}^i$	$\sum_{i \in \{24, 4, 16, 25, 5\}} P_{FL}^i$
FG-7	BR-4	P_{PD}^{12}	$S_7 = \{26, 6\}$	$\sum_{i \in \{26, 6\}} P_{FT}^i$	$\sum_{i \in \{26, 6\}} P_{FL}^i$

A careful observation of shows that it can be reduced to the smaller graph in Fig. 4 if we take each functional group as a graph theoretic vertex, and any component (a breaker or an open switch) between two functional groups as an edge. If we define $(FG-i, FG-j)$ to be the component joining $FG-i$ and $FG-j$, the new graph can be expressed by $G = (X, E)$

where $X = \{FG-1, FG-2, FG-3, FG-4, FG-5, FG-7, FG-7\}$

and $E = \{(FG-1, FG-2), (FG-2, FG-3), (FG-3, FG-4), (FG-3, FG-5), (FG-5, FG-6), (FG-6, FG-7)\}$
 $= \{BR-1, BR-2, BR-3, SW-2, SW-3, BR-4\}$

Fig. 4 shows the graph defined by $G = (X, E)$. Since the graph is an undirected graph, the pairs in E are defined as exchangeable, i.e. $(FG - i, FG - j) = (FG - j, FG - i)$.

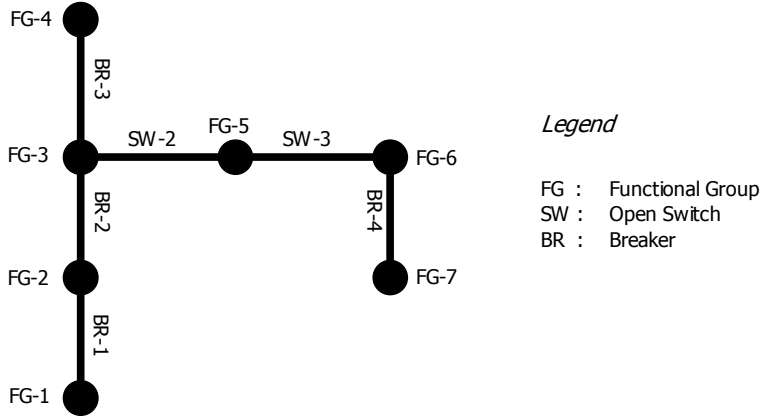


Fig. 4: Reduced functional group graph for Fig. 3

The results of the graph search also enable identification of the interconnections between functional groups, as summarized in Table 5. Each column in the table corresponds to a functional group, while each row corresponds to an interfacing component. There are two ones in each row, which indicate the interfacing component joint the two corresponding functional groups. The rest of the elements are all zeros. The array of elements in Table 3 can be represented via a matrix B in equation (1) where each row of B corresponds to an interfacing component, and each column corresponds to a functional group. This matrix is also called incidence matrix in graph theory [17]

Table 5: Connections for the interfacing components and the functional group (1- connected, 0-not connected)

—	FG-1	FG-2	FG-3	FG-4	FG-5	FG-6	FG-7
BR-1	1	1	0	0	0	0	0
BR-2	0	1	1	0	0	0	0
BR-3	0	0	1	1	0	0	0
SW-2	0	0	1	0	1	0	0
SW-3	0	0	0	0	1	1	0
BR-4	0	0	0	0	0	1	1

$$B = \begin{pmatrix} 1 & 1 & 0 & 0 & 0 & 0 & 0 \\ 0 & 1 & 1 & 0 & 0 & 0 & 0 \\ 0 & 0 & 1 & 1 & 0 & 0 & 0 \\ 0 & 0 & 1 & 0 & 1 & 0 & 0 \\ 0 & 0 & 0 & 0 & 1 & 1 & 0 \\ 0 & 0 & 0 & 0 & 0 & 1 & 1 \end{pmatrix} \quad (1)$$

If a component within either of the neighboring functional groups $FG-i$ and $FG-j$ has a fault and the breaker connecting $FG-i$ and $FG-j$ fails to open, generally, all the components in the two neighboring functional groups will be taken out of service. The probability that the functional group G_i and G_j both fail during the time interval Δt can be expressed as:

$$\begin{aligned} P_{ij} &= P_{PD}^{N_{ij}} \times \sum_{k \in S_i \cup S_j} P_{FT}^k \\ &= P_{PD}^{N_{ij}} \times \left[\sum_{k \in S_i} P_{FT}^k + \sum_{k \in S_j} P_{FT}^k \right] \\ &= P_{PD}^{N_{ij}} \times (P_{FG_i}^{FT} + P_{FG_j}^{FT}) \end{aligned} \quad (2)$$

where N_{ij} is the index of the interfacing component that joining functional group i and functional group j . Active failure rate (failure to open as required) of the interconnecting components between functional groups G_i and G_j (given by the failure rate of the interconnecting component), Δt is the next time interval considered, and P_k is the sum of the failure probabilities of all components in functional groups G_i and G_j .

The last column of Table 3 provides the per demand failure probabilities of the interfacing components. We denote the vector of failure rates of interfacing component as

$$D = \text{diag} \left(P_{PD}^9, P_{PD}^{10}, P_{PD}^{11}, P_{PD}^{14}, P_{PD}^{15}, P_{PD}^{12} \right) \quad (3)$$

where and diag indicates a square matrix having diagonal elements equal to the argument of the diag function and zeros elsewhere. The index of each P_{PD}^i is the same as the index of the interfacing component.

Then all the equations in form of equation (2) can be summarized in matrix form as:

$$\begin{pmatrix} P_{SBC_1} \\ P_{SBC_2} \\ P_{SBC_3} \\ P_{SBC_4} \\ P_{SBC_5} \\ P_{SBC_6} \end{pmatrix} = \begin{pmatrix} P_{12} \\ P_{23} \\ P_{34} \\ P_{35} \\ P_{56} \\ P_{67} \end{pmatrix} = \begin{pmatrix} P_{PD}^9 & 0 & 0 & 0 & 0 & 0 \\ 0 & P_{PD}^{10} & 0 & 0 & 0 & 0 \\ 0 & 0 & P_{PD}^{11} & 0 & 0 & 0 \\ 0 & 0 & 0 & P_{PD}^{14} & 0 & 0 \\ 0 & 0 & 0 & 0 & P_{PD}^{15} & 0 \\ 0 & 0 & 0 & 0 & 0 & P_{PD}^{12} \end{pmatrix} \times \begin{pmatrix} 1 & 1 & 0 & 0 & 0 & 0 & 0 \\ 0 & 1 & 1 & 0 & 0 & 0 & 0 \\ 0 & 0 & 1 & 1 & 0 & 0 & 0 \\ 0 & 0 & 1 & 0 & 1 & 0 & 0 \\ 0 & 0 & 0 & 0 & 1 & 1 & 0 \\ 0 & 0 & 0 & 0 & 0 & 1 & 1 \end{pmatrix} \times \begin{pmatrix} P_{FG_1}^{FT} \\ P_{FG_2}^{FT} \\ P_{FG_3}^{FT} \\ P_{FG_4}^{FT} \\ P_{FG_5}^{FT} \\ P_{FG_6}^{FT} \\ P_{FG_7}^{FT} \end{pmatrix} \quad (4)$$

or

$$P_{SBC} = D \times B \times P_{FG}^{FT} \quad (5)$$

where

$$\begin{aligned} P_{SBC} &= (P_{SBC_1}, P_{SBC_2}, P_{SBC_3}, P_{SBC_4}, P_{SBC_5}, P_{SBC_6})^T \\ &= (P_{12}, P_{23}, P_{34}, P_{35}, P_{56}, P_{67})^T \end{aligned} \quad (6)$$

D is given by equation (3),

B is given by equation (1),

$$P_{FG}^{FT} = (P_{FG_1}^{FT}, P_{FG_2}^{FT}, P_{FG_3}^{FT}, P_{FG_4}^{FT}, P_{FG_5}^{FT}, P_{FG_6}^{FT}, P_{FG_7}^{FT})^T$$

As we mentioned previously, SW-2 and SW-3 are open, so it is not possible for the two switches to fail to open. Therefore we set P_{35} and P_{56} to zeroes. Now the above developed probability calculation method will be illustrated through five substation configurations discussed below.

4. ESTIMATING THE RELIABILITY OF TYPICAL SUBSTATIONS

The five substation configurations discussed in this report are taken from [14]. All calculations assume that none of the substation components are in maintenance or out of service for any reason before a contingency. Furthermore, all the five configurations have four out-going or incoming connection points, so the apparent functions of them are the same *i.e.* serving as a hub to join four branches. In terms of $N-1$ contingencies, the performances of all five configurations are the same. If any line has a fault and it is

tripped correctly, all the three other lines will be still functional. *The difference lies in that their robustness to high order contingencies.* Some substations are obviously more reliable than others for higher order contingencies, for example, the double-bus-double-breaker (DBDB) configuration is more reliable than the single-bus-single-breaker (SBSB) configuration in Fig. 5. A bus fault outage can defunct all the four lines from/to the SBSB station while the DBDB station can withstand such a disturbance without interrupting the service of any of the four lines. Usually, power system engineers study the reliability of substation using state diagram with Markov model or Monte Carlo method [4][5][6] The full state diagram is not practical for a substation with many components. In this case, many simplifications have to be made so that the approach is feasible. But proposed approach provides a new way to study substation reliability and the algorithm is not restricted by the number of components in a substation. The graphical functional group model described above is used to analyze the reliability of the five basic substations as shown in Fig. 5.

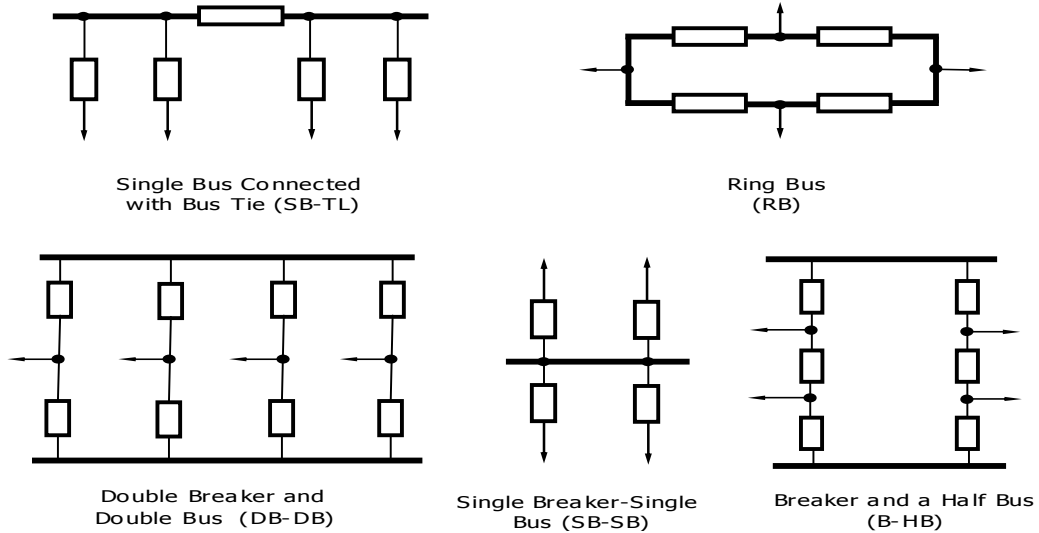


Fig. 5: Five typical substation configurations

Some of the notations used are defined below.

FSB_i : contingency caused by fault plus breaker i stuck;

p_{bs}^i : failure probability of bus section i . It is assumed to be zero for this discussion,

i.e., $p_{bs}^i = 0$ for all i ;

p_{sb}^i : conditional stuck probability (per demand failure rate) of breaker i . It is assumed to be the same (denoted as p_{sb}) for all breakers in the five substations;

D : diagonal matrix whose elements are P_{sb}^i 's;

p_{lf}^i : fault probability of line i . It is assumed to be the same (denoted as p_l) for all transmission lines;

B : connection matrix of all function groups in a substation. Its elements are defined in equation (1);

$FG-i$: functional group i ;

p_{FG}^i : fault probability of the i^{th} functional group;

P_{FG} : column vector representing the fault probabilities of all functional groups;

$p_{FSB-k}^{i,j}$: the aggregate probability of a group of contingencies caused by a fault within any of the two neighboring functional groups of breaker i and followed by the stuck failure of breaker i . The fault could happen on either side of the breaker. It could be a single line outage as well as a multiple line outage.

P_{FSB} : column vector made up of $p_{BR-k}^{i,j}$, the length of the vector is the same as the number of breaker in the study case;

- **Single Breaker and Single Bus (SB-SB)**

This configuration is simple and straightforward. From Fig. 6, there are a total of five functional groups and 4 breakers, implying four stuck breaker contingencies. The B -matrix representing the connectivity of the four functional groups is shown in the right side of Fig. 6. Clearly, with this single-bus-single-breaker substation diagram, any stuck breaker failure will cause the loss of all the four lines. The functional group fault probability, which is the summation of the fault probability of each component in the functional group, is calculated from equation (8), assuming the failure probability of bus $p_{bf} = 0$.

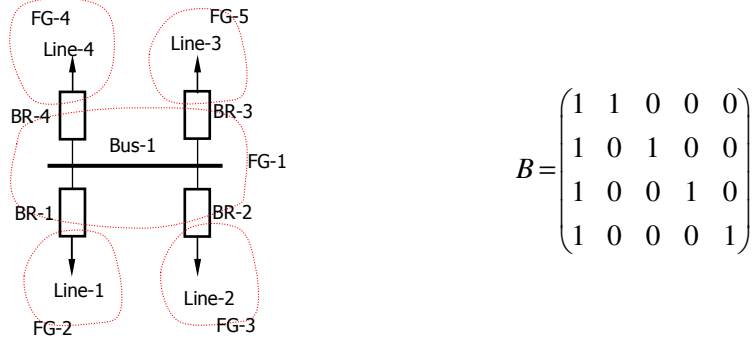


Fig. 6: Single breaker single bus substation and its B -matrix

$$P_{FSB} = (p_{FSB-1}, p_{FSB-2}, p_{FSB-3}, p_{FSB-4})^T \quad (7)$$

$$P_{FG} = (p_{FG}^1, p_{FG}^2, p_{FG}^3, p_{FG}^4, p_{FG}^5)^T \quad (8)$$

$$= (p_{bf}, p_{lf}, p_{lf}, p_{lf}, p_{lf})^T$$

$$D = \text{diag}(p_{sb}^1, p_{sb}^2, p_{sb}^3, p_{sb}^4) = \text{diag}(p_{sb}, p_{sb}, p_{sb}, p_{sb}) \quad (9)$$

With D , B , P_{FG} known, the probabilities of all the stuck breaker contingencies can be calculated by

$$P_{FSB} = D \times B \times P_{FG} \quad (10)$$

$$= p_{sb} \times (p_b + p_l, p_b + p_l, p_b + p_l, p_b + p_l)^T$$

The total probability of having a fault plus stuck breaker contingency in the SB-SB substation is $\sum P_{FSB-i} = 4 \times p_{sb} \times p_{lf}$.

▪ **Ring Bus**

This configuration is simple and straightforward too. From Fig. 7 there are a total of four functional groups and four breakers. The B -matrix representing the connectivity of the four functional groups is shown in the right side of Fig. 7. With this ring bus configuration, any stuck breaker failure will outage at most two lines. The functional group fault probability is calculated as from equation (12), assuming the failure probability of bus $p_{bf} = 0$.

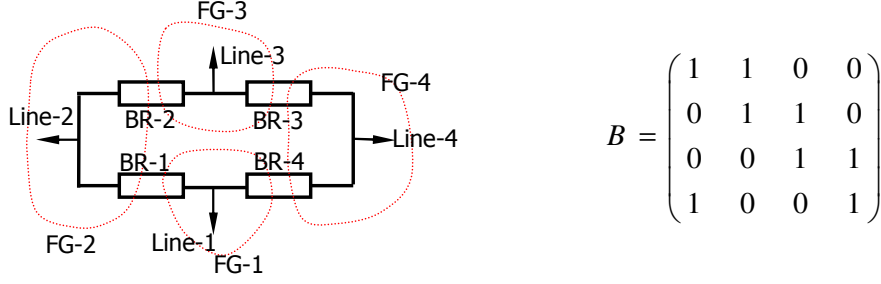


Fig. 7: Ring bus substation and its B-matrix

$$P_{FSB} = (P_{FSB-1}, P_{FSB-2}, P_{FSB-3}, P_{FSB-4})^T \quad (11)$$

$$P_{FG} = (P_{FG}^1, P_{FG}^2, P_{FG}^3, P_{FG}^4)^T \quad (12)$$

$$= (p_l, p_l, p_l, p_l)^T$$

$$D = \text{diag}(P_{sb}^1, P_{sb}^2, P_{sb}^3, P_{sb}^4) = \text{diag}(p_{sb}, p_{sb}, p_{sb}, p_{sb}) \quad (13)$$

With D , B , P_{FG} known, the probabilities of all the stuck breaker contingencies can be calculated by

$$P_{FSB} = D \times B \times P_{FG} \quad (14)$$

$$= p_{sb} \times (2p_l, 2p_l, 2p_l, 2p_l)^T$$

The total probability of having a fault plus stuck breaker contingency for the ring bus station is $\sum P_{FSB-i} = 8 \times p_{sb} \times p_{lf}$.

- **Single Bus Connected with Tie Breaker (SB-TL)**

This configuration SB-TL in Fig. 8 is adapted from SB-SB by splitting the bus and adding a tie-breaker between the two buses. When breakers 1-4 get stuck, only two lines will be lost at most. Note we assume Bus-1 and Bus-2 will never have a fault ($p_{sb}=0$), so it does not matter whether Breaker-5 gets stuck or not. The B -matrix representing the connectivity of the four functional groups is shown in the right side of Fig. 8. The functional group fault probability is calculated as from (16), assuming the failure probability of bus $p_{bf} = 0$.

$$P_{FSB} = (P_{FSB-1}, P_{FSB-2}, P_{FSB-3}, P_{FSB-4}, P_{FSB-5})^T \quad (15)$$

$$P_{FG} = (P_{FG}^1, P_{FG}^2, P_{FG}^3, P_{FG}^4, P_{FG}^5, P_{FG}^6) \quad (16)$$

$$= (p_l, p_l, p_l, p_l, 0, 0)^T$$

$$D = \text{diag}(p_{sb}^1, p_{sb}^2, p_{sb}^3, p_{sb}^4, p_{sb}^5) = \text{diag}(p_{sb}, p_{sb}, p_{sb}, p_{sb}, p_{sb}) \quad (17)$$

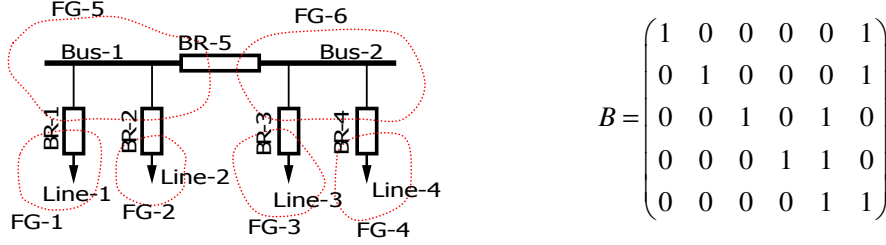


Fig. 8: Single bus connected with tie breaker and its B-matrix

With D , B , and P_{FG} known, the probabilities of all the stuck breaker contingencies can be calculated by

$$P_{FSB} = D \times B \times P_{FG} = p_{sb} \times (p_l, p_l, p_l, p_l, 0)^T \quad (18)$$

The total probability of having a fault plus stuck breaker contingency for the SB-TL substation is $\sum P_{FSB-i} = 4 \times p_{sb} \times p_{lf}$.

- **Double Breaker and Double Bus (DB-DB)**

The configuration of DB-DB is shown in Fig. 9, and there are a total of six functional groups and eight breakers, much more than other types of substations. The B -matrix representing the connectivity of the four functional groups is shown in the right side of Fig. 9. With this DB-DB configuration, any stuck breaker failure will outage at most one line. The functional group fault probabilities are calculated from (20), assuming the failure probability of bus $p_{bf} = 0$.

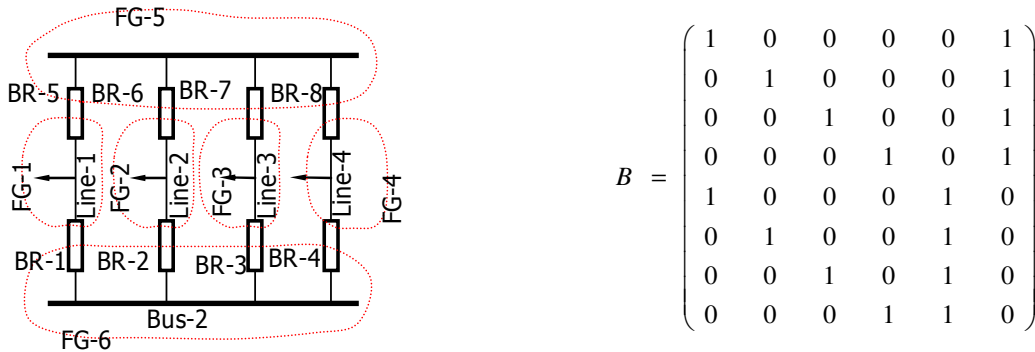


Fig. 9: Double breaker and double bus and its B-matrix

$$P_{FSB} = (P_{FSB-1}, P_{FSB-2}, P_{FSB-3}, P_{FSB-4}, P_{FSB-5}, P_{FSB-6}, P_{FSB-7}, P_{FSB-8})^T \quad (19)$$

$$P_{FG} = (p_{FG}^1, p_{FG}^2, p_{FG}^3, p_{FG}^4, p_{FG}^5, p_{FG}^6)^T$$

$$= (p_l, p_l, p_l, p_l, 0, 0)^T \quad (20)$$

$$D = (p_{sb}^1, p_{sb}^2, p_{sb}^3, p_{sb}^4, p_{sb}^5, p_{sb}^6, p_{sb}^7, p_{sb}^8) = (p_{sb}, p_{sb}, p_{sb}, p_{sb}, p_{sb}, p_{sb}, p_{sb}, p_{sb}) \quad (21)$$

With D , B , P_{FG} known, the probabilities of all the stuck breaker contingencies can be calculated by

$$P_{FSB} = D \times B \times P_{FG}$$

$$= p_{sb} \times (p_{lf}, p_{lf}, p_{lf}, p_{lf}, p_{lf}, p_{lf}, p_{lf}, p_{lf})^T \quad (22)$$

The total probability of having a fault plus stuck breaker contingency for DB-DB substation is $\sum P_{FSB-i} = 8 \times p_{sb} \times p_{lf}$. Among all fault plus stuck breaker contingencies, none of them involves more than one line.

▪ **Breaker and a Half Bus (B-HB)**

The configuration of B-HB is shown in Fig. 10, having a total of six functional groups and six breakers. The B -matrix representing the connectivity of the four functional groups is shown in the right side Fig. 10. With this B-HB configuration, any stuck breaker failure will outage at most two lines. The functional group fault probabilities are calculated as from equation (24), assuming the failure probability of bus $p_{bf} = 0$.

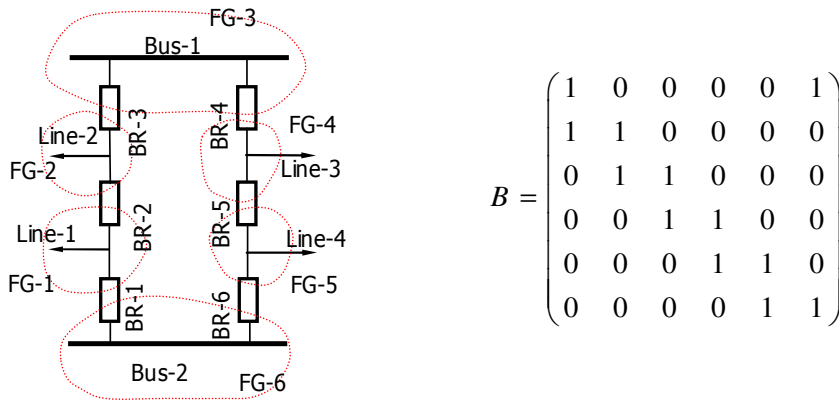


Fig. 10: Breaker and a half bus and its B -matrix

$$P_{FSB} = (p_{FSB-1}, p_{FSB-2}, p_{FSB-3}, p_{FSB-4}, p_{FSB-5}, p_{FSB-6}) \quad (23)$$

$$\begin{aligned}
P_{FG} &= (p_{FG}^1, p_{FG}^2, p_{FG}^3, p_{FG}^4, p_{FG}^5, p_{FG}^6) \\
&= (p_l, p_l, 0, p_l, p_l, 0)^T
\end{aligned} \tag{24}$$

$$D = (p_{sb}^1, p_{sb}^2, p_{sb}^3, p_{sb}^4, p_{sb}^5, p_{sb}^6) = (p_{sb}, p_{sb}, p_{sb}, p_{sb}, p_{sb}, p_{sb}) \tag{25}$$

With D , B , P_{FG} known, the probabilities of all the stuck breaker contingencies can be calculated by

$$\begin{aligned}
P_{FSB} &= D \times B \times P_{FG} \\
&= p_{sb} \times (p_{lb}, 2p_{lb}, p_{lb}, p_{lb}, 2p_{lb}, p_{lb})^T
\end{aligned} \tag{26}$$

The stuck of breaker 2 and breaker 5 will cause the removal of two lines while other breaker stuck will cause the outage of only one line. The probability of having a fault plus stuck breaker contingency is $\sum P_{FSB-i} = 8 \times p_{sb} \times p_{lf}$. Among all fault plus stuck breaker contingencies, only the stuck of breaker 2 or breaker 5 could involve more than one line.

5. HIGH-ORDER CONTINGENCIES DUE TO INADVERTENT TRIPPING

Inadvertent tripping after an initial fault or failure often leads to higher order contingencies. Inadvertent tripping generally occurs in the vicinity of the initial fault. Inadvertent tripping contingency forms the major part (about 55%) of the large system disturbances due to protection related cascading as given in Table 1. From practical experience it is seen that CT saturation causes misoperation of differential relays during external faults and delayed operation during internal faults. Inadvertent tripping often occurs at off-nominal frequency and due to outdated settings, human errors or overlapping zones of protection. The Northeast blackout on November 9, 1965, resulted in the loss of over 20,000 MW of load and affected 30 million people. It was a result of a faulty relay setting resulting in the tripping of one of five heavily loaded 230-kV transmission lines and the system cascaded in 2.5 seconds. On December 14, 1995, a fault occurred on a 345 kV line in southern Idaho, which tripped correctly, followed by an incorrect trip of a parallel line and a third line tripped on overloading. System

instability resulted in the formation of four islands, with system frequency dropping to 58.75 Hz and 3,000 MW of load curtailed by under frequency load shedding. The blackout of West Coast on July 2, 1996 resulted in the loss of 11,850 MW of load and affected 2 million. Again it was a result of incorrect tripping of a parallel line after the initial contingency of a line sagging into a tree and resulted in the formation of five islands. In all the above cascading events inadvertent tripping played an important role. The Table 6 below summarizes a US utility experience with inadvertent tripping.

Table 6 A US Utility experience with misoperation

Utility:		Time Period: Jan. - Dec. 2004					
Voltage:	Dependability			Security		System Restoration	Total Misoperations
138 kV	Failure to Trip	Failure to Interrupt	Slow Trip	Unnecessary Trip During Fault	Unnecessary Trip Other Than Fault	Failure to Reclose	
Relay System	2	0	19	18	5	7	51
Circuit Breaker	1	1	0	0	3	2	7
Total Protective System	3	1	19	18	8	9	58
Percent Incorrect Operation Relay System	0.5%	0.0%	4.7%	4.5%	1.2%	1.7%	12.7%
Percent Incorrect Operation Circuit Breaker	0.3%	0.3%	0.0%	0.0%	0.8%	0.5%	1.8%
Percent Incorrect Operation Protective System	0.7%	0.2%	4.7%	4.5%	2.0%	2.2%	14.4%
138 kV	Relay K =	5					
	Breaker K =	0					
	Total Operations:	397					

The Table 7 below summarizes the survey result conducted by Working Group I17 Transmission System Relay Performance Comparison on misoperation.

Table 7 Survey of US Utilities on Relay misoperation

% INCORRECT OPERATIONS, YEAR 2003													
Company	Total Events	K Factor	Relay Misoperations		Voltage	Failure to Trip	Failure to Interrupt	Slow Trip	Unnecessary Trip During Fault	Unnecessary Trip Other Than Fault	Failure to Reclose	Total Misoperations	
A					Above 400								
B													
C	3	0	1				0.0%	0.0%	0.0%	0.0%	33.3%	0.0%	33.3%
D													
E													
F													
H													
I													
A	23	0	4			301 - 400	0.0%	0.0%	0.0%	8.7%	8.7%	0.0%	17.4%
B	136	2	20		0.7%		0.0%	1.4%	5.1%	2.2%	5.1%	14.5%	
C	22	1	13		0.0%		0.0%	0.0%	13.0%	39.1%	4.3%	56.5%	
D													
E	16	4	7		0.0%		0.0%	0.0%	0.0%	0.0%	35.0%	35.0%	
F	1	0	0		0.0%		0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	
H													
I	9	0	3		0.0%		0.0%	0.0%	0.0%	0.0%	33.3%	33.3%	
A					201 - 300								
B													
C	9	1	2			0.0%	0.0%	0.0%	0.0%	20.0%	0.0%	20.0%	
D													
E	1	0	0			0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	
F													
H													
I													
A	72	0	22			101 - 200	1.4%	0.0%	1.4%	19.4%	8.3%	0.0%	30.6%
B	303	9	46		0.0%		0.0%	2.9%	6.7%	0.6%	4.5%	14.7%	
C	128	0	29		0.0%		0.0%	0.0%	7.8%	7.0%	7.8%	22.7%	
D													
E	115	3	23		0.8%		0.0%	0.0%	14.4%	4.2%	0.0%	19.5%	
F	15	1	10		0.0%		0.0%	0.0%	50.0%	12.5%	0.0%	62.5%	
H													
I	10	6	11		6.3%		0.0%	0.0%	18.8%	6.3%	37.5%	68.8%	
A	105	0	7		51 - 100		1.0%	0.0%	0.0%	4.8%	0.0%	1.0%	6.7%
B	697	1	12			0.0%	0.0%	0.0%	1.1%	0.0%	0.6%	1.7%	
C	397	1	24			0.3%	0.0%	0.0%	2.8%	0.8%	2.3%	6.0%	
D													
E	291	5	30			0.7%	0.0%	0.3%	6.4%	1.0%	1.7%	10.1%	
F													
H													
I	47	0	6			0.0%	0.0%	2.1%	4.3%	4.3%	2.1%	12.8%	

It is assumed that only line or functional group connected to the initial contingent functional group or line will suffer inadvertent tripping. This assumption for the derivation of Inadvertent Tripping Contingency is based on the zones of protection in the real system. If there is more than one functional group that can suffer inadvertent tripping than it is assumed that they are disjoint or in other words only one of them can suffer inadvertent tripping at a time. We have no information of more than one simultaneous inadvertent tripping from the open literature. However without extra effort one can extend the equations developed below to take that into account in case the

situation demands. A systematic methodology for the probability calculation of inadvertent tripping is developed and illustrated through five substation topology discussed above.

The total probability of an inadvertent tripping contingency (ITC) k involving line i and line j can be calculated by

$$\begin{aligned}
 P_{ITC_k} &= \Pr(\text{line } j \text{ trips} | \text{line } i \text{ trips}) + \Pr(\text{line } i \text{ trips} | \text{line } j \text{ trips}) \\
 &= \Pr(\text{line } j \text{ trips} \cap \text{line } i \text{ trips}) / \Pr(\text{line } i \text{ trips}) \\
 &+ \Pr(\text{line } i \text{ trips} \cap \text{line } j \text{ trips}) / \Pr(\text{line } j \text{ trips}) \\
 &= \Pr(\text{line } j \text{ trips} \cap \text{line } i \text{ trips}) * \\
 &\quad [(\Pr(\text{line } i \text{ trips}) + \Pr(\text{line } j \text{ trips})) / (\Pr(\text{line } i \text{ trips}) * \Pr(\text{line } j \text{ trips}))]
 \end{aligned} \tag{27}$$

Assuming that the probability of failure/fault to be same for each line. Let

p_{lf}^i : fault probability of line i . It is assumed to be the same (denoted as p_l) for all transmission lines;

Therefore

$$\begin{aligned}
 P_{ITC_k} &= \Pr(\text{line } j \text{ trips} \cap \text{line } i \text{ trips}) [(2 * p_l) / (p_l^2)] \\
 &= \Pr(\text{line } j \text{ trips} \cap \text{line } i \text{ trips}) * 2 * (1 / p_l)
 \end{aligned} \tag{28}$$

The result generalized in terms of the functional group concept discussed earlier. Let us consider two functional groups represented by FG-i and FG-j. The probability that a functional group FG-i is tripped due to failure of a component can be calculated as $\sum_{i \in S_i} P_{FL}^j$, where the elements of S_i are the indices of all the components in functional

group i . The probability that a functional group is tripped due to fault can be calculated as

$\sum_{i \in S_i} P_{FT}^j$ in the same way.

The probability of an ITC k due to failure that involved FG- i and FG- j can be calculated by

$$\begin{aligned}
P_{ITC_k} &= \Pr(FG-j \text{ trips} | FG-i \text{ trips}) + \Pr(FG-i \text{ trips} | FG-j \text{ trips}) \\
&\text{where} \\
\Pr(FG-j \text{ trips} | FG-i \text{ trips}) &= \Pr(FG-j \text{ trips} \cap FG-i \text{ trips}) / \Pr(FG-i \text{ trips}) \\
P_{ITC_k} &= \Pr(FG-j \text{ trips} \cap FG-i \text{ trips}) / \Pr(FG-i \text{ trips}) \\
&\quad + \Pr(FG-i \text{ trips} \cap FG-j \text{ trips}) / \Pr(FG-j \text{ trips}) \\
&= \Pr(FG-j \text{ trips} \cap FG-i \text{ trips}) * \\
&\quad \left[(\Pr(FG-i \text{ trips}) + \Pr(FG-j \text{ trips})) / (\Pr(FG-i \text{ trips}) * \Pr(FG-j \text{ trips})) \right] \\
&= \Pr(FG-j \text{ trips} \cap FG-i \text{ trips}) \left[\sum_{i \in S_i} P_{FL}^i + \sum_{i \in S_j} P_{FL}^j \right] / \left[\left(\sum_{i \in S_i} P_{FL}^i \right) * \left(\sum_{i \in S_j} P_{FL}^j \right) \right]
\end{aligned} \tag{29}$$

Similarly the probability of an ITC k due to fault that involved FG- i and FG- j can be calculated by

$$P_{ITC_k} = \Pr(FG-j \text{ trips} \cap FG-i \text{ trips}) \left[\sum_{i \in S_i} P_{FT}^i + \sum_{i \in S_j} P_{FT}^j \right] / \left[\left(\sum_{i \in S_i} P_{FT}^i \right) * \left(\sum_{i \in S_j} P_{FT}^j \right) \right] \tag{30}$$

In the above case it was considered that there is a possibility of only one additional functional group suffering inadvertent tripping. When there are more functional groups which can trip inadvertently then one can similarly find an expression of the total probability by conditioning on each functional group sequentially and adding their probabilities. For example when the failure of one can initiate inadvertent tripping of either of the two then the expression for total ITC probability will look like

$$\begin{aligned}
P_{ITC_i} &= \Pr(FG-i \text{ trips or } FG-j \text{ trips} | FG-k \text{ trips}) \\
&\quad + \Pr(FG-i \text{ trips or } FG-k \text{ trips} | FG-j \text{ trips}) \\
&\quad + \Pr(FG-j \text{ trips or } FG-k \text{ trips} | FG-i \text{ trips})
\end{aligned} \tag{31}$$

Generalized form for Inadvertent Tripping Contingency

In this section a generalized method from the system topology to find the total probability of ITC after an initial contingency is developed. As it was discussed above, the power system can be represented as an undirected graph with functional groups as the vertices and the interfacing elements as the edges. The graph search algorithm developed enables identification of the interconnections between functional groups. The methodology will be illustrated with the example of the power system shown in Fig. 2 and the result generalized. For the power system shown in Fig. 2, the result of the graph search

is summarized in Table 5. Each column in the table corresponds to a functional group, while each row corresponds to an interfacing component. There are two ones in each row, which indicate the interfacing component joining the two corresponding functional groups. The rest of the elements are all zeros. The is represented as the incidence matrix in equation 1 which is reproduced below

$$B = \begin{pmatrix} 1 & 1 & 0 & 0 & 0 & 0 & 0 \\ 0 & 1 & 1 & 0 & 0 & 0 & 0 \\ 0 & 0 & 1 & 1 & 0 & 0 & 0 \\ 0 & 0 & 1 & 0 & 1 & 0 & 0 \\ 0 & 0 & 0 & 0 & 1 & 1 & 0 \\ 0 & 0 & 0 & 0 & 0 & 1 & 1 \end{pmatrix} \quad (32)$$

The new matrix B^T in equation 33 is obtained by taking the transpose of the matrix B in equation 1

$$B^T = \begin{pmatrix} 1 & 0 & 0 & 0 & 0 & 0 \\ 1 & 1 & 0 & 0 & 0 & 0 \\ 0 & 1 & 1 & 1 & 0 & 0 \\ 0 & 0 & 1 & 0 & 0 & 0 \\ 0 & 0 & 0 & 1 & 1 & 0 \\ 0 & 0 & 0 & 0 & 1 & 1 \\ 0 & 0 & 0 & 0 & 0 & 1 \end{pmatrix} \quad (33)$$

Each column in equation 33 corresponds to an interfacing component, while each row corresponds to a functional group.

$$X = \{FG-1, FG-2, FG-3, FG-4, FG-5, FG-6, FG-7\} \quad (34)$$

$$K = \bigcap P(B * diag(X)) \quad (35)$$

$$D = diag(K) \quad (36)$$

$$C(1) = (1 \ 1 \ 1 \ \dots) \quad (37)$$

where C(1) is the unit column matrix of order (1*7), $\bigcap P$ is the joint probability of failure of the functional groups in each row of the matrix $B * diag(X)$ which can be approximately calculated from the outage data base available in the utilities for past many years.

Then all the equations in (30) and (31) can be summarized in the matrix form as

$$P_{IFG}^{FT} = (1/P_{FG_1}^{FT}, 1/P_{FG_2}^{FT}, 1/P_{FG_3}^{FT}, 1/P_{FG_4}^{FT}, 1/P_{FG_5}^{FT}, 1/P_{FG_6}^{FT}, 1/P_{FG_7}^{FT})^T \quad (38)$$

$$P_{ITC} = [(B^T * D)^T * diag(P_{IFG}^{FT})]^T * C(1) \quad (39)$$

$$\text{where } P_{ITC} = \begin{pmatrix} P_{FG_1}^{ITC} \\ P_{FG_2}^{ITC} \\ P_{FG_3}^{ITC} \\ P_{FG_4}^{ITC} \\ P_{FG_5}^{ITC} \\ P_{FG_6}^{ITC} \\ P_{FG_7}^{ITC} \end{pmatrix} \quad (40)$$

and $P_{FG_i}^{ITC}$ is the total probability of ITC given the fault/ failure in functional group 1.

It is assumed that $P_{FG_i}^{FT}$ is not identically equal to zero. In other words it is not a bus section. The case where FG_i is a bus section is discussed as a special case below.

Equation (39) is the general formula for any power system whose topology is known in terms of the switching elements and components of the functional group. So for the power system example in Fig. 2 the ITC probability for each functional group can be calculated as below.

$$A = \begin{pmatrix} 1 & 0 & 0 & 0 & 0 & 0 \\ 1 & 1 & 0 & 0 & 0 & 0 \\ 0 & 1 & 1 & 1 & 0 & 0 \\ 0 & 0 & 1 & 0 & 0 & 0 \\ 0 & 0 & 0 & 1 & 1 & 0 \\ 0 & 0 & 0 & 0 & 1 & 1 \\ 0 & 0 & 0 & 0 & 0 & 1 \end{pmatrix} * \begin{pmatrix} P(FG_1 \cap FG_2) & 0 & 0 & 0 & 0 & 0 \\ 0 & P(FG_2 \cap FG_3) & 0 & 0 & 0 & 0 \\ 0 & 0 & P(FG_3 \cap FG_4) & 0 & 0 & 0 \\ 0 & 0 & 0 & P(FG_3 \cap FG_5) & 0 & 0 \\ 0 & 0 & 0 & 0 & P(FG_5 \cap FG_6) & 0 \\ 0 & 0 & 0 & 0 & 0 & P(FG_6 \cap FG_7) \end{pmatrix}^T$$

$$\begin{pmatrix} P_{FG_1}^{ITC} \\ P_{FG_2}^{ITC} \\ P_{FG_3}^{ITC} \\ P_{FG_4}^{ITC} \\ P_{FG_5}^{ITC} \\ P_{FG_6}^{ITC} \\ P_{FG_7}^{ITC} \end{pmatrix} = [A * diag(P_{IFG}^{FT})]^T * C(1) \quad (41)$$

In the same way the ITC can be found for any power system once the functional groups are identified. With the changing topology of the power system the functional groups can be identified in an updating mode and continuous tracking of increased ITC probability can be very useful in real time operations.

Special Case

When all the functional group(s) connected by the interfacing element(s) of the failed or faulted functional group contains/contain only bus sections as their components then all the functional groups connected to these bus sections will have equal probability to suffer inadvertent tripping. This special case is incorporated by modifying the matrix B . The modified matrix is obtained by subtracting the column corresponding to the faulted functional group from the sum of the columns of the functional groups connected to the faulted functional group through the interfacing elements of the faulted functional group. In the next step the columns corresponding to the functional groups connected to the faulted functional group are made zero. To find the ITC corresponding to this special case only the transpose of the column corresponding to the faulted functional group in the B^T matrix is needed. This gives the ITC probability of the functional group which is connected only to the bus section through all interfacing elements. From practical experience the probability of a fault in a bus section is once in a lifetime and is ‘almost zero’ compared to other components fault probability. Thus the probability of bus fault is assumed to be zero. Similarly the probability of simultaneous outage of two functional groups where one of the functional group is a bus section is zero. Hence the ITC probability for an initial contingency on a bus section is zero and is not calculated.

Although the equations above give a concise mathematical form to calculate the probability of inadvertent tripping contingencies, it depends on the availability of matrix B , which is not easy to obtain. In addition, the size of B is very large and sparsity technology has to be used to handle it efficiently. Other matrix operations in case of a functional group connected only to the bus sections through the interfacing elements are memory intensive. However, a computer algorithm is developed to search for functional groups, its components, the interfacing elements and to get the ITC without formulating the B matrix.

In the next section the probability calculation for the typical substation topologies are illustrated directly from the topology and with the help of the formula developed. This will illustrate the effectiveness of the concise formula developed for a large system where it is not easy to enumerate all the different possibilities easily. A systematic method is indispensable for a large system.

Probability calculation illustration for the Typical Substation Topologies

▪ **Ring Bus**

This configuration is simple and straightforward. From Fig. 11 there are a total of four functional groups and four breakers. In this simple configuration from the topology it is evident that a fault on a single line can trigger inadvertent tripping on either of the two lines connected to the same bus through an electrical distance of one breaker. For illustration purposes it is assumed that the fault occurs on line 3 or FG-3 and is tripped correctly.

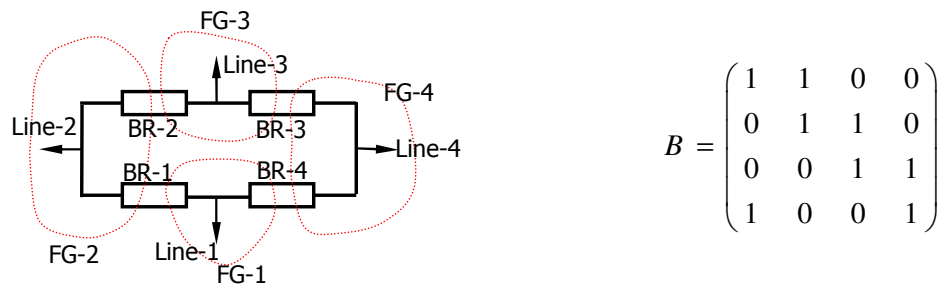


Fig. 11: Ring bus substation with B matrix

Now one of the two functional groups FG-2 or FG-4 can trip inadvertently. The functional group fault probability is calculated as in equation (12), and assuming the failure probability of bus $p_{bf} \ll 0$. So the probability of ITC contingency when FG-3 trips is

$$P_{ITC_1} = \Pr(FG - 2 \text{ trips or } FG - 4 \text{ trips} | FG - 3 \text{ trips}) \quad (42)$$

Since the inadvertent tripping of any of the functional groups is independent of each other and assuming they are disjoint the above expression becomes.

$$\begin{aligned} P_{ITC_1} &= \Pr(FG - 2 \text{ trips} | FG - 3 \text{ trips}) + \Pr(FG - 4 \text{ trips} | FG - 3 \text{ trips}) \\ &= [P(FG_2 \cap FG_3) + P(FG_3 \cap FG_4)] (1/P_{FG_3}^{FT}) \end{aligned} \quad (43)$$

The ITC probability calculations using equations (34)-(40) are also shown below.

$$P_{IFG}^{FT} = (1/P_{FG_1}^{FT}, 1/P_{FG_2}^{FT}, 1/P_{FG_3}^{FT}, 1/P_{FG_4}^{FT})^T \quad (44)$$

$$P_{ITC} = [(B^T * D)^T * \text{diag}(P_{IFG}^{FT})]^T * C(1) \quad (45)$$

$$\begin{aligned} \begin{pmatrix} P_{FG_1}^{ITC} \\ P_{FG_2}^{ITC} \\ P_{FG_3}^{ITC} \\ P_{FG_4}^{ITC} \end{pmatrix} &= \left[\begin{pmatrix} 1 & 0 & 0 & 1 \\ 1 & 1 & 0 & 0 \\ 0 & 1 & 1 & 0 \\ 0 & 0 & 1 & 1 \end{pmatrix} * \begin{pmatrix} P(FG_1 \cap FG_2) & 0 & 0 & 0 \\ 0 & P(FG_2 \cap FG_3) & 0 & 0 \\ 0 & 0 & P(FG_3 \cap FG_4) & 0 \\ 0 & 0 & 0 & P(FG_1 \cap FG_4) \end{pmatrix} \right]^T * \begin{pmatrix} 1 \\ 1 \\ 1 \\ 1 \end{pmatrix} \\ &\quad * \text{diag}(P_{IFG}^{FT}) \end{aligned} \quad (46)$$

$$\begin{pmatrix} P_{FG_1}^{ITC} \\ P_{FG_2}^{ITC} \\ P_{FG_3}^{ITC} \\ P_{FG_4}^{ITC} \end{pmatrix} = \begin{pmatrix} \dots \\ \dots \\ [P(FG_2 \cap FG_3) + P(FG_3 \cap FG_4)] (1/P_{FG_3}^{FT}) \\ \dots \end{pmatrix}$$

Which is same as obtained from the topology in equation 43.

- **Breaker and a Half Bus (B-HB)**

The configuration of B-HB is shown in Fig. 12, having a total of six functional groups and six breakers. In this simple bus configuration a fault on a single line can

trigger inadvertent tripping on only lines connected between the same pair of buses through an electrical distance of one breaker and on the same side. For illustration purposes it is assumed that the fault occurs on line 3 or FG-4.

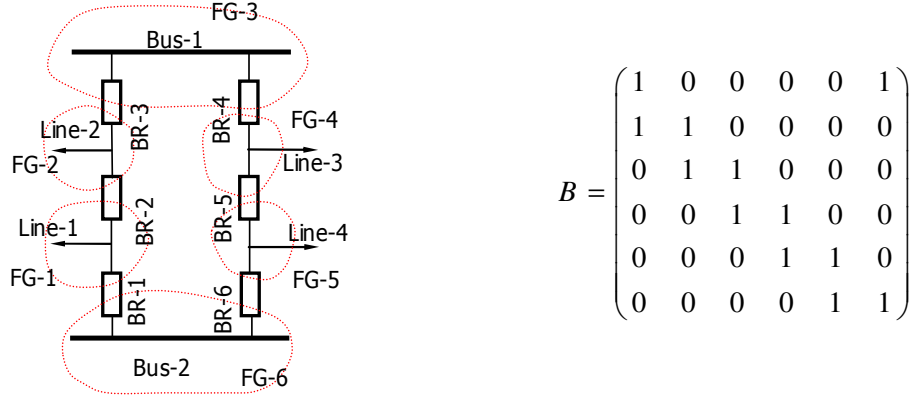


Fig. 12: Breaker and a half bus

Now only FG-5 can trip inadvertently which is on the same side as FG-4 and between the same pair of buses. The functional group fault probabilities are calculated as from equation (24), assuming the failure probability of bus $p_{bf} \ll 0$.

So the probability of ITC contingency when FG-4 trips

$$\begin{aligned} P_{ITC_2} &= \Pr(FG-5 \text{ trips} | FG-4 \text{ trips}) \\ &= [P(FG_5 \cap FG_4)](1/P_{FG_4}^{FT}) \end{aligned} \quad (47)$$

Now from equations (34)-(40) the corresponding equations for a breaker and half are as follows

$$P_{IFG}^{FT} = (1/P_{FG_1}^{FT}, 1/P_{FG_2}^{FT}, 1/P_{FG_3}^{FT}, 1/P_{FG_4}^{FT}, 1/P_{FG_5}^{FT}, 1/P_{FG_6}^{FT})^T \quad (48)$$

Where $P_{FG_3}^{FT}$ and $P_{FG_6}^{FT}$ are zero. But all the terms of the form $P(FG_j \cap FG_i) * (1/P_{FG_i}^{FT})$ are zero where $i = 3, 6$ $j \neq i$.

$$P_{ITC} = [(B^T * D)^T * diag(P_{IFG}^{FT})]^T * C(1) \quad (49)$$

$$\begin{pmatrix} P_{FG_1}^{ITC} \\ P_{FG_2}^{ITC} \\ P_{FG_4}^{ITC} \\ P_{FG_6}^{ITC} \end{pmatrix} = \begin{pmatrix} \dots \\ \dots \\ [P(FG_5 \cap FG_4)](1/P_{FG_4}^{FT}) \\ \dots \end{pmatrix} \quad (50)$$

Which is same as calculated from the topology. In the final expression the terms $P_{FG_3}^{ITC}$ and $P_{FG_6}^{ITC}$ are not included because they are bus sections and ITC corresponding to them is zero as explained earlier.

▪ **Single Bus Connected with Tie Breaker (SB-TB)**

This configuration SB-TL in Fig. 13 is adapted from SB-SB by splitting the bus and adding a tie-breaker between the two buses. From the topology it is evident that a fault on a single line can trigger inadvertent tripping on only lines connected to the same bus through an electrical distance of one breaker and on the same side of the tie breaker. For illustration purposes it is assumed that the fault occurs on line 1 or FG-1.

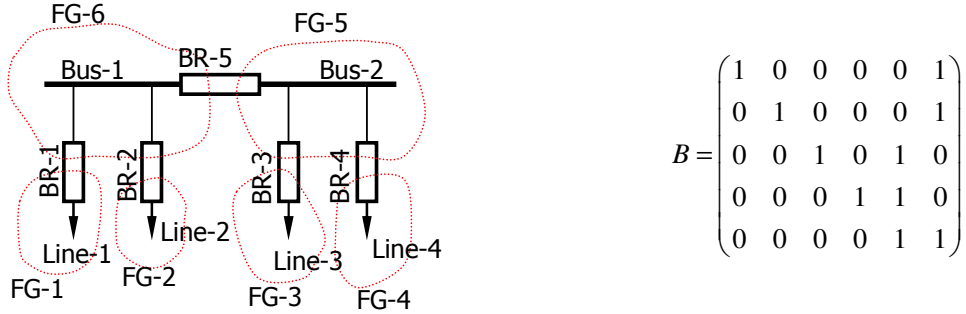


Fig. 13 Single bus connected with tie breaker and its B -matrix

So now only FG-2 can trip inadvertently. The functional group fault probability is calculated as in (16), assuming the failure probability of bus $p_{bf} \ll 0$.

So the probability of ITC contingency when FG-1 trips is

$$\begin{aligned} P_{ITC_3} &= \Pr(FG-2 \text{ trips} | FG-1 \text{ trips}) \\ &= [P(FG_2 \cap FG_1)](1/P_{FG_1}^{FT}) \end{aligned} \quad (51)$$

Now from equations (34)-(40) the corresponding equations for this configuration are as follows

$$P_{IFG}^{FT} = (1/P_{FG_1}^{FT}, 1/P_{FG_2}^{FT}, 1/P_{FG_3}^{FT}, 1/P_{FG_4}^{FT}, 1/P_{FG_5}^{FT}, 1/P_{FG_6}^{FT})^T \quad (52)$$

Where $P_{FG_5}^{FT}$ and $P_{FG_6}^{FT}$ are zero. But all the terms of the form $P(FG_j \cap FG_i) * (1/P_{FG_i}^{FT})$ are zero where $i = 5, 6$ $j \neq i$.

$$P_{ITC} = [(B^T * D)^T * diag(P_{IFG}^{FT})]^T * C(1) \quad (53)$$

$$\begin{pmatrix} P_{FG_1}^{ITC} \\ P_{FG_2}^{ITC} \\ P_{FG_3}^{ITC} \\ P_{FG_4}^{ITC} \end{pmatrix} = \begin{pmatrix} [P(FG_2 \cap FG_1)](1/P_{FG_1}^{FT}) \\ \dots \\ \dots \\ \dots \end{pmatrix} \quad (54)$$

Which is same as calculated from the topology. In the final expression the terms $P_{FG_5}^{ITC}$ and $P_{FG_6}^{ITC}$ are not included because they are bus sections and ITC corresponding to them is zero as explained earlier

▪ **Single Breaker and Single Bus (SB-SB)**

In this simple configuration in Fig. 14 a fault on a single line can trigger inadvertent tripping on any one of the remaining three lines since all are connected to the same bus through an electrical distance of one breaker. For illustration purposes it is assumed that the fault occurs on line 3 or FG-3.

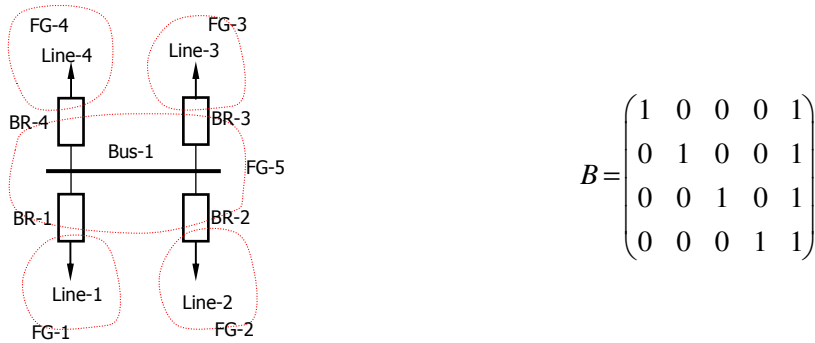


Fig. 14: Single breaker single bus substation and its B -matrix

Now one or more of the remaining functional groups can trip inadvertently. The functional group fault probability, which is the summation of the fault probability of each component in the functional group, is calculated from equation (8), assuming the failure probability of bus $p_{bf} \neq 0$. So the probability of ITC contingency when FG-3 trips is

$$P_{ITC_4} = \Pr(FG - 1 \text{ trips or } FG - 2 \text{ trips or } FG - 4 \text{ trips} | FG - 3 \text{ trips}) \quad (55)$$

Since the inadvertent tripping of any of the functional groups is independent of each other and assuming they are disjoint the above expression becomes.

$$P_{ITC_4} = \Pr(FG - 1 \text{ trips} | FG - 3 \text{ trips}) + \Pr(FG - 2 \text{ trips} | FG - 3 \text{ trips}) + \Pr(FG - 4 \text{ trips} | FG - 3 \text{ trips}) \quad (56)$$

$$= [P(FG_1 \cap FG_3) + P(FG_2 \cap FG_3) + P(FG_4 \cap FG_3)] (1/P_{FG_3}^{FT}) \quad (57)$$

This configuration falls under the category of special case where the faulted functional group is connected only to a bus section. So to calculate its ITC probability through equations (34)-(40) the matrix B is modified as explained earlier. So in this case the B matrix for ITC probability of FG-3 becomes

$$B' = \begin{pmatrix} 1 & 0 & 1 & 0 & 0 \\ 0 & 1 & 1 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 1 & 1 & 0 \end{pmatrix} \quad (58)$$

$$B'^T = (1 \ 1 \ 0 \ 1) \text{ row corresponding to FG-3} \quad (59)$$

$$P_{IFG}^{FT} = (1/P_{FG_1}^{FT}, 1/P_{FG_2}^{FT}, 1/P_{FG_3}^{FT}, 1/P_{FG_4}^{FT}, 1/P_{FG_5}^{FT})^T \quad (60)$$

where $P_{FG_5}^{FT}$ is zero. But all the terms of the form $P(FG_j \cap FG_i) * (1/P_{FG_i}^{FT})$ are zero where $i = 5$ and $j \neq i$.

$$P_{ITC} = [(B'^T * D)^T * \text{diag}(P_{IFG}^{FT})]^T * C(1) \quad (61)$$

$$P_{FG_3}^{ITC} = [P(FG_1 \cap FG_3) + P(FG_2 \cap FG_3) + P(FG_4 \cap FG_3)] (1/P_{FG_3}^{FT}) \quad (62)$$

Which is same as calculated from the topology. In this configuration all the non bus section functional groups are connected only to the bus section and ITC is calculated similarly for each of them.

▪ **Double Breaker and Double Bus (DB-DB)**

The configuration of DB-DB is shown in Fig. 15 , and there are a total of six functional groups and eight breakers, much more than other types of substations. In this configuration a fault on a single line can trigger inadvertent tripping on any one of the remaining three lines since all are connected to the same bus through an electrical distance of one breaker. For illustration purposes it is assumed that the fault occurs on line 1 or FG-1. The functional group fault probabilities are calculated from (20), assuming the failure probability of bus $p_{bf} \ll 0$.

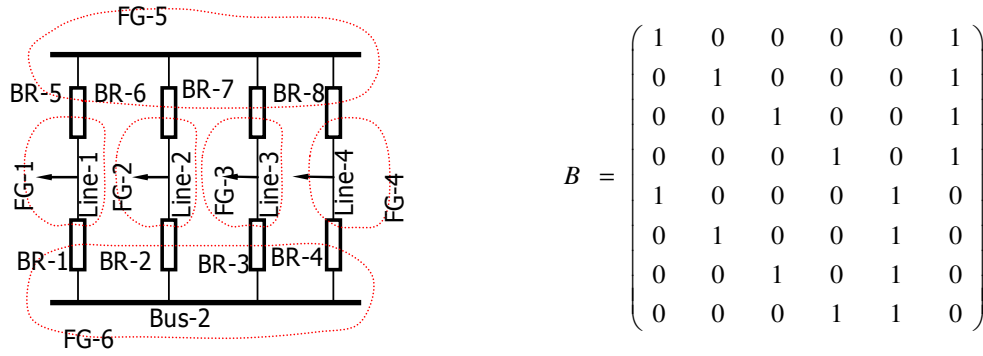


Fig. 15: Double breaker and double bus and its B -matrix

So the probability of ITC contingency when FG-1 trips is

$$P_{ITC_s} = \Pr(FG - 2 \text{ trips or } FG - 3 \text{ trips or } FG - 4 \text{ trips} | FG - 1 \text{ trips}) \quad (63)$$

Since the inadvertent tripping of any of the functional groups is independent of each other and assuming they are disjoint the above expression becomes.

$$P_{ITC_s} = \Pr(FG - 2 \text{ trips} | FG - 1 \text{ trips}) + \Pr(FG - 3 \text{ trips} | FG - 1 \text{ trips}) + \Pr(FG - 4 \text{ trips} | FG - 1 \text{ trips}) \quad (64)$$

$$= [P(FG_1 \cap FG_2) + P(FG_1 \cap FG_3) + P(FG_1 \cap FG_4)] \left(1/P_{FG_1}^{FT}\right) \quad (65)$$

This configuration is similar to SB-SB in that the faulted functional groups are connected only to functional groups containing a bus section. So to calculate its ITC probability the matrix B is modified as explained earlier. So in this case the B matrix for ITC probability of FG-1 becomes

$$B = \begin{pmatrix} 0 & 0 & 0 & 0 & 0 & 0 \\ 1 & 1 & 0 & 0 & 0 & 0 \\ 1 & 0 & 1 & 0 & 0 & 0 \\ 1 & 0 & 0 & 1 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 \\ 1 & 1 & 0 & 0 & 0 & 0 \\ 1 & 0 & 1 & 0 & 0 & 0 \\ 1 & 0 & 0 & 1 & 0 & 0 \end{pmatrix} \quad (66)$$

$$B^T = (0 \ 1 \ 1 \ 1 \ 0 \ 1 \ 1 \ 1) \text{ row corresponding to FG-1} \quad (67)$$

$$P_{IFG}^{FT} = (1/P_{FG_1}^{FT}, 1/P_{FG_2}^{FT}, 1/P_{FG_3}^{FT}, 1/P_{FG_4}^{FT}, 1/P_{FG_5}^{FT}, 1/P_{FG_6}^{FT})^T \quad (68)$$

Where $P_{FG_5}^{FT}$ and $P_{FG_6}^{FT}$ are zero. But all the terms of the form $P(FG_j \cap FG_i) * (1/P_{FG_i}^{FT})$ are zero where $i = 5, 6$ and $j \neq i$.

$$P_{ITC} = [(B^T * D)^T * diag(P_{IFG}^{FT})]^T * C(1) \quad (69)$$

$$P_{FG_1}^{ITC} = 2 * [P(FG_1 \cap FG_2) + P(FG_1 \cap FG_3) + P(FG_1 \cap FG_4)] (1/P_{FG_1}^{FT}) \quad (70)$$

This is twice as calculated from the topology. This makes sense since both the functional groups connected to the faulted functional group are in turn connected to same set of functional groups. And with large number of breakers, relays and overlapping zones of protection, along with advantages comes disadvantages of higher likelihood of misoperation or inadvertent tripping. So ITC probability after an initial contingency is higher than expected. Similarly the ITC probabilities for other non bus section functional groups are computed.

Table 8 gives a summary of the topological analysis results for higher order stuck breaker and inadvertent tripping contingencies. In Table 8 the smallest ITC probability ($P_{ITC_2} = k$) is much smaller than the smallest stuck breaker contingency probability ($4 \times p_{sb} \times p_{lb}$).

Table 8: The probability of high-order contingency for different substations

Substation Type	Prob. (Fault plus Stuck breaker)	Prob. (Fault plus ITC)	number of breakers	Fault plus stuck breaker Contingency set
SB-SB	$4 \times p_{sb} \times p_{lb}$	$P_{ITC_4} = 3 * k$	4	FG_1 and FG_5 , FG_2 and FG_5 , FG_3 and FG_5 , FG_4 and FG_5
Ring Bus	$8 \times p_{sb} \times p_{lb}$	$P_{ITC_1} = 2 * k$	4	FG_1 and FG_2 , FG_2 and FG_3 , FG_3 and FG_4 , FG_4 and FG_1
SB-TL	$4 \times p_{sb} \times p_{lb}$	$P_{ITC_3} = k$	5	FG_1 and FG_5 , FG_2 and FG_5 , FG_5 and FG_6 , FG_3 and FG_6 , FG_4 and FG_6
DB-DB	$8 \times p_{sb} \times p_{lb}$	$P_{ITC_5} = 3 * k$	8	FG_1 and FG_5 , FG_2 and FG_5 , FG_3 and FG_5 , FG_4 and FG_5 , FG_1 and FG_6 , FG_2 and FG_6 , FG_3 and FG_6 , FG_4 and FG_6
B-HB	$8 \times p_{sb} \times p_{lb}$	$P_{ITC_2} = k$	6	FG_1 and FG_2 , FG_2 and FG_3 , FG_3 and FG_4 , FG_4 and FG_5 , FG_5 and FG_6 , FG_6 and FG_1

6. TEST SYSTEM

The developed methodology is implemented in Visual C++ computer language. The approach is tested on a test system given in Appendix D with 12 substations, 6 generators, 10 transformers, 119 bus section nodes and 39 line nodes. The test system data are given in Table 11 and Table 12 and the result for the test system is summarized below in Table 9. The algorithm is also tested on IEEE-RTS 24 bus test system given in Appendix F. The results for the IEEE-RTS system are summarized in Table 10

Table 10. In both the cases the full run of the program takes less than a second.

Table 9: Result of N-k contingency selection algorithm on Test System

Type	Functional Group	Stuck Breaker	Inadvertent Tripping
Number	71	126	125

Table 10 Result of N-k contingency selection algorithm on IEEE-RTS 24 Bus Test System

Type	Functional Group	Stuck Breaker	Inadvertent Tripping
Number	117	126	196

In both the above cases the result found by the program are same as those found by inspection. Thus is developed methodology is powerful yet simple to implement and has immense value in on line tracking of the system’s exposure to vulnerability via changes in the system topology.

The topology of the power system is continuously changing and hence the approach can be used in an updating mode, taking into consideration of the changes in the status of the switch-breaker which would normally result in the formation of one or more new functional groups or merging into smaller number of functional groups. This would make the process very computationally efficient.

7. CONCLUSION

This chapter documents systematic method for computing probability *order* of different contingencies as a function of the switch-breaker data commonly available within the EMS. In many decision problems, knowledge of the “*probability order*” of the significant events is sufficient to distinguish between alternatives because probability order is a reasonable measure of event’s probability. Rare event approximations (Appendix A) underpin the selection of high order contingencies for online security assessment. This makes sense because the probability of a compound event is dominated by lowest order terms.

Five substation configurations, including single-bus connected with bus tie, ring bus, double breaker-double bus, single breaker-single bus, and breaker and a half are used in the illustrations of the probability calculation approach developed for $N-k$ ($k \geq 2$ implied) contingencies and the results are summarized in Table 8.

The methodology developed for probability calculation is simple and needs no extra information other than switch-breaker data which is available in most control centers. The approach can be used in an updating mode with the changes in the topology of the system, taking into consideration of the changes in the status of the switch-breaker which normally results in formation of one or more new functional groups or merging into smaller number of functional groups. Thus continuous tracking of system topology generates the higher order contingencies based on probability *order* for online security assessment.

APPENDIX A Rare Event Approximation

Suppose p_1, p_2, \dots, p_n are the individual probabilities of a group of independent events E_1, E_2, \dots, E_n . The probability of a compound event, i.e., a combination of events E_1, E_2, \dots, E_n , can always be expressed as a polynomial of p_1, p_2, \dots, p_n . For example, the probability of the event $(E_1 \cap E_2) \cup E_3$ is $p_3 + p_1 p_2 - p_1 p_2 p_3$. Further suppose that p_1, p_2, \dots, p_n are all of approximately the same order of magnitude, then the order of magnitude of each product term in the polynomial will depend on how many terms are in the product. We call the number of terms in the product the *probability order*. Thus, the probability of $(E_1 \cap E_2) \cup E_3$ is composed of three different terms p_3 (probability order 1), $p_1 p_2$ (probability order 2), and $p_1 p_2 p_3$ (probability order 3). ***In many decision problems, knowledge of the “probability orders” of the significant events is sufficient to distinguish between alternatives.***

The basic idea of rare event approximation is that, if the individual probabilities of a group of independent events are very small, we can always simplify the calculation by omitting the higher order terms of the polynomial without much loss of precision [13]. In the given example, if we knew that p_1, p_2 , and p_3 were very small, then the probability of $(E_1 \cap E_2) \cup E_3$ could be approximated as $p_3 + p_1 p_2$, or even as p_3 .

Often, the failure probability of an individual component is very small for a well-managed system such as a power system. The fault probability of a power system component is usually at the magnitude of 10^{-6} per hour (or $<1\%$ per year) [18]. Suppose the fault probability of a line is p_1 per hour and the failure probability of a breaker is p_2 /hour. Obviously, they are not exclusive events. The probability of a fault (p_1), breaker in a failed state (p_2), or both can be expressed as $p_1 + p_2 - p_1 p_2$, assuming the two events are independent. Considering the small nature of p_1 and p_2 , if we ignore the probability component of simultaneous occurrence of the two events, the error is only about 10^{-12} .

The implication is that when dealing with rare events, the probability of a compound event is dominated by the lowest *order* terms, and thus the *probability order* is a reasonable measure of event's probability.

Based on this idea, we focus on the high order events with higher probability first, then lower probability, since, as the order of contingency increases, the probability of its occurrence decreases sharply to infinitesimal. A complete discussion of rare event systems can be found in [13].

APPENDIX B Pseudo Code For Graph Search Algorithm For Functional Group Decomposition

This is a Breath-first search algorithm.

- 1. Beginning of decomposition;*
- 2. Label all components (bus section, non switching components (lines, capacitors, generators, transformers etc), switching components (switches, breakers etc) as unvisited;*
- 3. Arbitrarily choose one unvisited vertex (bus section) as a starting component;*
- 4. Initialize functional group and bus indices;*
- 5. Establish a new empty functional group object without any component in it;*
- 6. Add the chosen bus section to the functional group object as its first component;*
- 7. Starting from this vertex, merge the functional group's immediate neighboring components (lines, capacitors, generators, transformers and other non switching components) into the group and label them as visited;*
- 8. The step 2 continues until the group expands to its border, where the bordering components are all switching components (breakers and open switches);*
- 9. If all components in the power system are visited, stop searching and go to the last step; else choose another unvisited bus section and return to step 2 all over again;*
- 10. End of decomposition.*

APPENDIX C Pseudo Code For Graph Search Algorithm For Inadvertent Tripping Contingency

1. *Graph Search Algorithm outlined in Appendix B finds all the Functional Group;*
2. *For each Functional Group we find the interfacing elements (breakers and switches) and the components in the Functional Group ;*
3. *For a failure/fault in the Functional Group extract the interfacing elements and the Functional Group connected to them (step 2);*
4. *Check if any of the Functional Groups extracted above have components other than a Bus section; then the one with component/components other than only Bus section can suffer inadvertent tripping;*
5. *If the Functional Groups connected the to faulted/failed Functional Group contain only Bus section as their components then we go for second tier of search;*
 - a) *Identify the interfacing circuit breaker and Functional Group that connect the Bus section and take the union to form the set of Functional Groups excluding the failed/faulted Functional Group;*
 - b) *If only one of the remaining Functional Groups has a component other than Bus section then only that Functional Group can trip (SB-TB) and stop.*
 - c) *Else if there are more than one Functional Group with component other than Bus section then go for the third tier of search;*
6. *End of decomposition*

APPENDIX E Test System Data

Table 11 Bus section and breaker data

No	fb	to	name	No	fb	to	name	No	fb	to	name	No	fb	to	name
1	2	3	BUS-7	33	46	51	BUS-5	67	18	65	BUS-8	131	127	121	BUS-9
2	2	4	BUS-7	34	51	50	BUS-5	68	66	67	BUS-8	132	122	127	BUS-9
3	5	3	BUS-7	35	50	49	BUS-5	101	102	103	BUS-7	133	146	151	BUS-5
4	5	6	BUS-7	36	49	48	BUS-5	102	102	104	BUS-7	134	151	150	BUS-5
5	6	4	BUS-7	37	46	62	BUS-5	103	105	103	BUS-7	135	150	149	BUS-5
6	7	3	BUS-7	38	53	47	BUS-5	104	105	106	BUS-7	136	149	148	BUS-5
7	8	7	BUS-7	39	47	48	BUS-5	105	106	104	BUS-7	137	146	153	BUS-5
8	8	4	BUS-7	40	37	35	BUS-4	106	107	103	BUS-7	138	153	147	BUS-5
9	9	3	BUS-7	41	37	38	BUS-4	107	108	107	BUS-7	139	147	148	BUS-5
10	9	10	BUS-7	42	38	36	BUS-4	108	108	104	BUS-7	140	137	135	BUS-4
11	10	4	BUS-7	43	39	35	BUS-4	109	109	103	BUS-7	141	137	138	BUS-4
12	3	11	BUS-7	44	39	40	BUS-4	110	109	110	BUS-7	142	138	136	BUS-4
13	11	12	BUS-7	45	40	36	BUS-4	111	110	104	BUS-7	143	139	135	BUS-4
14	12	4	BUS-7	46	41	35	BUS-4	112	103	111	BUS-7	144	139	140	BUS-4
15	13	14	BUS-8	47	42	41	BUS-4	113	111	112	BUS-7	145	140	136	BUS-4
16	14	15	BUS-8	48	42	36	BUS-4	114	112	104	BUS-7	146	141	135	BUS-4
17	15	16	BUS-8	49	43	35	BUS-4	115	113	114	BUS-8	147	142	141	BUS-4
18	16	17	BUS-8	50	43	36	BUS-4	116	114	115	BUS-8	148	142	136	BUS-4
19	13	18	BUS-8	51	44	35	BUS-4	117	115	116	BUS-8	149	143	135	BUS-4
20	65	54	BUS-8	52	45	44	BUS-4	118	116	117	BUS-8	150	143	136	BUS-4
21	17	54	BUS-8	53	45	36	BUS-4	119	113	118	BUS-8	151	144	135	BUS-4
22	20	21	BUS-9	54	34	29	BUS-6	120	118	154	BUS-8	152	145	144	BUS-4
23	20	19	BUS-9	55	34	33	BUS-6	121	117	154	BUS-8	153	145	136	BUS-4
24	19	22	BUS-9	56	33	55	BUS-6	122	120	121	BUS-9	154	134	129	BUS-6
25	21	23	BUS-9	57	29	30	BUS-6	123	120	119	BUS-9	155	134	133	BUS-6
26	23	24	BUS-9	58	55	56	BUS-6	124	119	122	BUS-9	156	133	155	BUS-6
27	24	22	BUS-9	59	31	32	BUS-6	125	121	123	BUS-9	157	129	130	BUS-6
28	25	21	BUS-9	60	30	31	BUS-6	126	123	124	BUS-9	158	155	132	BUS-6
29	25	26	BUS-9	61	56	32	BUS-6	127	124	122	BUS-9	159	131	132	BUS-6
30	26	22	BUS-9	62	57	58	BUS-6	128	125	121	BUS-9	160	130	131	BUS-6
31	27	21	BUS-9	65	53	62	BUS-5	129	125	126	BUS-9	-	-	-	-
32	22	27	BUS-9	66	63	64	BUS-5	130	126	122	BUS-9	-	-	-	-

Table 12 Line data for the test system

No	NAME	F-BUS	T-BUS
1	L1	42	33
2	L2	41	34
3	L3	39	29
4	L4	53	8
5	L5	47	7
6	L6	48	9
7	L7	11	14
8	L8	12	15
9	L9	10	16
10	L10	13	20
11	L11	18	19
12	L12	54	24
13	L13	30	23
14	L14	31	25
15	L15	32	26
16	L16	40	51
17	L17	38	50
18	L18	37	49
101	L101	142	133
102	L102	141	134
103	L103	139	129
104	L104	153	108
105	L105	147	107
106	L106	148	109
107	L107	111	114
108	L108	112	115
109	L109	110	116
110	L110	113	120
111	L111	118	119
112	L112	154	124
113	L113	130	123
114	L114	131	125
115	L115	132	126
116	L116	140	151
117	L117	138	150
118	L118	137	149
301	L301	105	64
302	L302	106	67
401	L401	144	58

APPENDIX F IEEE-RTS 24 Bus Test System

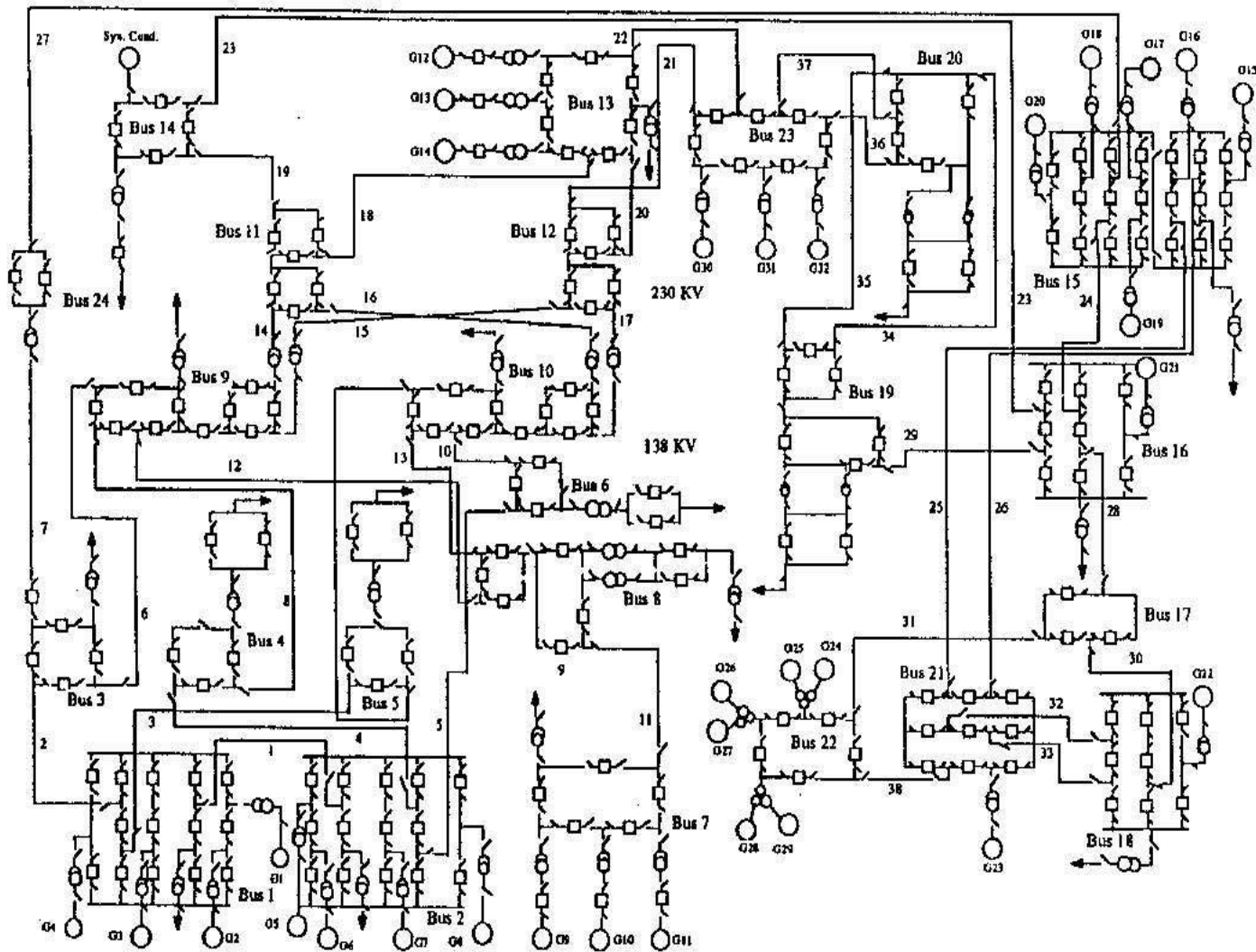


Fig. 17 IEEE RTS-24 bus test system substation diagram

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